



State of West Virginia  
DEPARTMENT OF HEALTH AND HUMAN RESOURCES  
Office of Inspector General  
Board of Review  
2699 Park Avenue, Suite 100  
Huntington, WV 25704

Joe Manchin III  
Governor

Martha Yeager Walker  
Secretary

July 6, 2006

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

Dear Ms. \_\_\_\_\_:

Attached is a copy of the findings of fact and conclusions of law on your hearing held July 5, 2006. Your hearing request was based on the Department of Health and Human Resources' action to deny medical eligibility for the Title XIX Aged/Disabled Waiver Services Program.

In arriving at a decision, the State Hearings Officer is governed by the Public Welfare Laws of West Virginia and the rules and regulations established by the Department of Health and Human Resources. These same laws and regulations are used in all cases to assure that all persons are treated alike.

Eligibility and benefit levels for the Aged/Disabled Waiver Services Program are determined based on current regulations. One of these regulations is the individual in the Waiver Program must qualify medically. These are individuals who qualify medically to be placed in a skilled nursing care facility but who have chosen the Waiver Program Services as opposed to being institutionalized. (Section 570 of Title XIX Aged/Disabled Home and Community Based Services Waiver, Policies and Procedures Manual, 11-1-03).

The information which was submitted at the hearing revealed that you do not meet the medical criteria to be eligible for the Title XIX Aged/Disabled Waiver Services Program.

It is the decision of the State Hearings Officer to uphold the action of the Department to deny medical eligibility for the Title XIX Aged/Disabled Waiver Services Program.

Sincerely,

Thomas M. Smith  
State Hearing Officer  
Member, State Board of Review

cc: Erika H. Young, Chairman, Board of Review  
Kay Ikerd, Bureau for Senior Services  
[REDACTED], WVMI

**WEST VIRGINIA DEPARTMENT OF HEALTH & HUMAN RESOURCES  
BOARD OF REVIEW**

\_\_\_\_\_,

**Claimant,**

v.

**Action Number: 06-BOR-1661**

**West Virginia Department of  
Health and Human Resources,**

**Respondent.**

**DECISION OF STATE HEARING OFFICER**

**I. INTRODUCTION:**

This is a report of the State Hearing Officer resulting from a fair hearing concluded on July 5, 2006 for \_\_\_\_\_. This hearing was held in accordance with the provisions found in the Common Chapters Manual, Chapter 700 of the West Virginia Department of Health and Human Resources. This fair hearing was convened on July 5, 2006 as a telephone conference hearing at claimant's request on a timely appeal, filed April 11, 2006.

It should be noted here that the claimant's benefits have been denied pending a hearing decision.

**II. PROGRAM PURPOSE:**

The Program entitled Title XIX Aged/Disabled Wavier Services is set up cooperatively between the Federal and State governments and administered by the West Virginia Department of Health & Human Resources.

Under Section 2176 of the Omnibus Budget Reconciliation Act of 1981, states were allowed to request a waiver from the Health Care Financing Administration (HCFA) so that they could use Medicaid (Title XIX) funds for home and community based services. The Program's target population is individuals who would otherwise be placed in a skilled nursing facility (if not for the waiver services).

**III. PARTICIPANTS:**

1. \_\_\_\_\_, Claimant (participating by speaker phone).

2. [REDACTED] Claimant's caregiver (participating by speaker phone).
3. Kay Ikerd, Bureau for Senior Services (participating by speaker phone).
4. [REDACTED], WVMI R.N. (participating by speaker phone).

Presiding at the Hearing was Thomas M. Smith, State Hearing Officer and a member of the State Board of Review.

#### **IV. QUESTIONS TO BE DECIDED:**

The question to be decided is whether the claimant meets the medical requirements of the Title XIX Aged/Disabled Waiver Services Program in needing skilled nursing care.

#### **V. APPLICABLE POLICY:**

Title XIX Aged/Disabled Home and Community-Based Services Waiver, Policy and Procedures Manual, 11-3-03.

#### **VI. LISTING OF DOCUMENTARY EVIDENCE ADMITTED:**

##### **Department's Exhibits:**

- #1 Copy of regulations (8 pages).
- #2 Copy of hearing request received 4-11-06 (3 pages).
- #3 Copy of PAS-2005 completed 12-12-05 (6 pages).
- #4 Copy of potential denial letter dated 12-28-05 (2 pages).
- #5 Copy of additional documentation not received in time to consider (3 pages).
- #6 Copy of denial letter dated 1-16-06 (2 pages).
- #7 Copy of evaluation request (2 pages).

#### **VII. FINDINGS OF FACT:**

- 1) The claimant was an initial applicant for the Title XIX Aged/Disabled Waiver Services Program when an evaluation request was received and a PAS-2005 was completed by [REDACTED] of WVMI on 12-12-05 and was denied for medical eligibility (Exhibit #3).
- 2) The PAS-2005 completed by Ms. [REDACTED] on 12-12-05 determined that only four (4) deficits existed in the functional activities of eating, bathing, dressing and grooming.
- 3) Ms. Ikerd testified about the regulations (Exhibit #1).
- 4) Ms. [REDACTED] testified about her findings on the PAS-2005 (Exhibit #3).
- 5) The claimant was notified of potential denial on 12-28-05 (Exhibit #4), additional information was received on 1-17-06 which was outside the two (2) week potential denial period and was not considered (Exhibit #5), a denial letter was sent on 1-16-06 (Exhibit #6) and a hearing request was received by the Bureau for Medical Services on

4-11-06, by the Board of Review on 5-12-06, and by the State Hearing Officer on 5-22-06 (Exhibit #2).

- 6) Testimony on behalf of the claimant disagreed with the findings of the PAS-2005 in the area of medication administration. Testimony from Ms. [REDACTED] indicated she did not understand why the claimant could not receive a deficit for medication administration as she was taking too much medication and then she got it straightened out and now puts the medication in a pill holder but the claimant still needs assistance. Ms. [REDACTED] testified that the claimant can take the medication herself and that there were no other disagreements with the findings of the PAS-2005. The claimant testified that she forgets about taking her medications and takes too much.
- 7) Ms. Ikerd testified that setting up medication is considered as prompting or supervision and does not count as a deficit.
- 8) Title XIX Aged/Disabled Home and Community-Based Services Waiver, Policies and Procedures Manual, 11-3-03 states, in part,

"Section 570 PROGRAM ELIGIBILITY FOR CLIENT

Applicants for the ADW Program must meet all of the following criteria to be eligible for the program:

- A. Be 18 years of age or older
- B. Be a permanent resident of West Virginia.....
- C. Be approved as medically eligible for NF Level of Care.
- D. Meet the Medicaid Waiver financial eligibility criteria for the program as determined by the county DHHR office, or the SSA if an active SSI recipient.
- E. Choose to participate in the ADW Program as an alternative to NF care.

Even if an individual is medically and financially eligible, a waiver allocation must be available for him/her to participate in the program.

570.1 MEDICAL ELIGIBILITY

A QIO under contract to BMS determines medical eligibility for the A/D Waiver Program.

570.1.a PURPOSE

The purpose of the medical eligibility review is to ensure the following:

- A. New applicants and existing clients are medically eligible based on current and accurate evaluations.
- B. Each applicant/client determined to be medically eligible for ADW services receives an appropriate LOC that reflects current/actual medical condition and short- and long-term service needs.
- C. The medical eligibility determination process is fair, equitable, and consistently applied throughout the state.

570.1.b MEDICAL CRITERIA

An individual must have five deficits on the PAS to qualify medically for the ADW Program. These deficits are derived from a combination of the following assessment elements on the PAS:

A. Decubitus - Stage 3 or 4

B. Unable to vacate a building-a person is physically unable at all times at Level 3 or higher in walking or mentally incapable of leaving the building at Level 3 or higher in orientation with a diagnosis of dementia, Alheimers, or related condition. (Item 25, I and 33, on the PAS-2005).

C. Functional abilities of individual in the home.

Eating - Level 2 or higher (physical assistance to get nourishment, not preparation)

Bathing - Level 2 or higher (physical assistance or more)

Grooming - Level 2 or higher (physical assistance or more)

Dressing - Level 2 or higher (physical assistance or more)

Continence - Level 3 or higher (must be total incontinent-defined as when the recipient has no control of bowel or bladder functions at any time.)

Orientation - Level 3 or higher (totally disoriented, comatose)

Transfer - Level 3 or higher (one person or two persons assist in the home)

Walking - Level 3 or higher (one person assist in the home)

Wheeling - Level 3 or higher (must be Level 3 or 4 on walking in the home to use Level 3 or 4 for wheeling in the home. Do not count outside the home)

D. Individual has skilled needs in one or more of these areas - (g) suctioning, (h) tracheostomy, (i) ventilator, (k) parenteral fluids, (l) sterile dressings, or (m) irrigations. (Item 26 on the PAS-2005)

E Individual is not capable of administering his/her own medications is defined as an individual not capable of administering his/her own medications if the prescription medication must be placed in the recipient's hand, mouth, tube, or eye by some one other than the recipient at all times."

- 9) The only area of dispute involved medication administration. The findings of Ms. [REDACTED] on the PAS-2005 indicated that the claimant had two (2) pill boxes, one for morning and one for night, that the caregiver puts the pills in the pill boxes and the claimant takes them herself. Testimony from Ms. [REDACTED] indicated that she sets up the medications for the claimant but the claimant takes them herself. Since the medication does not have to be placed in the claimant's hand, mouth, tube, or eye, a deficit cannot be awarded for medication administration.

## VIII. CONCLUSIONS OF LAW:

Regulations in Section 570.1.b require that five (5) deficits exist in the major life areas and the claimant was determined to have only four (4) deficits on the PAS-2000 completed on 12-12-05 in the areas of eating, bathing, dressing and grooming. The only area of dispute involved

medication administration and the State Hearing Officer was convinced by the evidence and testimony that an additional deficit could not be awarded to the claimant. The claimant does not meet the medical criteria for the Title XIX Aged/Disabled Waiver Services Program as she does not have five (5) deficits.

**IX. DECISION:**

It is the decision of the State Hearing Officer to uphold the action of the Department (WVMI) to deny medical eligibility for the Title XIX Aged/Disabled Waiver Services Program.

**X. RIGHT OF APPEAL:**

See Attachment

**XI. ATTACHMENTS:**

The Claimant's Recourse to Hearing Decision

Form IG-BR-29

**ENTERED this 6th Day of July, 2006.**

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**Thomas M. Smith**  
**State Hearing Officer**