

Codes: C = Compliant NC = Non Compliant NA = Non Applicable						
Date of Inspection:						
Section	Regulation#	Reg Description	C	NC	NA	Description NC
§78-20-4. Certificate of Registration Information	4.1.	Application for Registration.				
	4.1.a.	Initial -- The department shall issue an initial certificate of registration to an informal or relative family child care home upon application and compliance with this rule.				
	4.1.b.	Renewal -- The department shall issue a renewal of the certificate of registration to an informal or relative family child care home upon application for renewal, which shall be submitted at least 30 days before expiration of the current certificate.				
	4.2.	General Requirements.				
	4.2.a	An informal or relative family child care home shall be registered under the name of only one caregiver per residence.				
	4.2.b.	An informal or relative family child care home shall be located in the residence of the caregiver.				
	4.2.c.	An informal or relative family child care home shall comply with the provisions of this rule.				
	4.3.	Departmental Action on Applications for Registration -- Within 60 days of receipt of an application for certificate of registration, the secretary shall provide a written decision to the informal or relative family child care home that does one of the following:				
	4.3.a.	Issues a regular certificate of registration if the informal or relative family child care home certifies compliance with all of the requirements of this rule; or				
	4.3.b.	Denies a certification of registration if the informal or relative family child care home does not certify substantial compliance with all of the requirements of this rule.				
	4.4.	Terms and Conditions of Registration.				
	4.4.a	A certificate of registration is not transferable to another informal or relative family child care home.				
	4.4.b.	A certificate of registration applies only to the informal or relative family child care home at the location identified in the application.				
	4.4.c.	An informal or relative family child care home shall show its certificate of registration to parents and the public upon request.				
	4.4.d.	The department shall maintain a record of all substantiated complaints against registered informal or relative family child care homes and subsequent corrective action. The department will provide this record to parents and the public upon request.				
	4.4.e.	A regular certificate of registration is valid for a period of up to two years from the date of issuance unless revoked.				
	4.5.	Limitations on a Certificate of Registration.				
	4.5.a.	Based on the findings below, the secretary may place limitations on a certificate of registration:				
	4.5.a.1.	Provision of other home-based services, such as foster care and adult family care; or				
	4.5.a.2.	Non-compliance issues that require a corrective action plan approved by the secretary.				
	4.5.b.	The limitations may apply to:				
	4.5.b.1.	The age, gender, and type of problems of children in care;				
	4.5.b.2.	The intake of additional children; or				
	4.5.b.3.	The total number of children in the home.				
	4.6.	Waivers and Variances.				
	4.6.a	An informal or relative family child care home may request a waiver or variance of any requirement in this rule if:				
	4.6.a.1.	The health, safety, or well-being of children in the home is not adversely affected; and				
	4.6.a.2.	The rule does not prohibit a waiver or variance for the requirement.				
	4.6.b.	If the caregiver wishes to request a waiver or variance, the caregiver shall submit a written request addressed to the secretary that includes the following information:				
	4.6.b.1.	The specific requirement to be waived or varied;				
	4.6.b.2.	The reasons for seeking a waiver or variance and why a specific requirement should not be applied in a particular circumstance; and				
	4.6.b.3.	The reasons for seeking a variance and how compliance with a specific requirement of this rule can be accomplished in a manner different from that set forth in W. Va. Code §49-2-101 et seq., W. Va. Code §49-2-202 et seq., or in this rule.				

§78-20-5. Inspection and Investigation.	5.1.	A caregiver shall allow a reasonable on-site monitoring inspection by the department.				
	5.2.	The department may conduct inspections with or without advance notice.				
	5.3.	During inspections, the caregiver shall provide access to the premises and to all aspects of the informal or relative family child care home operation, including the children in care and the household members.				
	5.4.	An informal or relative family child care home shall ensure that its records are available in easily accessible files for inspection.				
	5.5.	An informal or relative family child care home shall cooperate in the investigation of complaints against the home.				
§78-20-6. Caregiver, Substitute, and Household Member Requirement s.	6.1.	General Requirements.				
	6.1.a.	The caregiver or substitute shall be:				
	6.1.a.1.	At least 18 years of age; and				
	6.1.a.2.	Able to read and write or have another adult present in the home, during the hours of care, who is able to read and write.				
	6.1.b.	The caregiver or substitute shall ensure that alcoholic beverages or illegal substances are not used, and that no one present in the home is under the influence of those substances during the time children are in care.				
	6.1.c.	A caregiver or substitute may not be impaired by any substance, medication, or medical cannabis to a level that would impair his or her ability to care for children.				
	6.1.d.	The caregiver may only use a substitute to provide care for children during emergency situations, illness, and during occasional non-emergency situations such as vacations, child care training, and medical appointments.				
	6.1.e.	A caregiver shall be physically present at the informal or relative family child care home and within sight or hearing of all children in care.				
	6.2.	Health Requirements.				
	6.2.a.	The caregiver shall, at his or her own cost, obtain a statement of good health from a licensed physician within 30 days of application for a certificate of registration and upon application for renewal of the certificate of registration. Statements of good health may be dated up to six months prior to application or renewal. The caregiver shall submit a copy of the medical report to the department and retain the original for the caregiver's files.				
	6.2.b.	If an investigation determines a caregiver or household member may have a physical, mental, or emotional condition that could negatively impact the care of children, the caregiver shall provide a current physical or psychological examination report from a licensed physician or a licensed mental health professional assessing the condition and its impact on the provision of care for children, which will be used by the department to determine whether to continue, deny, or place limits on the certificate of registration.				
	6.3.	Training Requirements.				
	6.3.a.	An informal relative caregiver shall complete approved pre-service health and safety training prior to providing care.				
	6.3.b.	A relative caregiver shall complete an initial two hours of approved health or safety training within 30 days of application.				
	6.3.c.	Informal and relative caregivers shall obtain approved training in pediatric cardiopulmonary resuscitation (CPR) and first aid within three months of initial registration;				
	6.3.d.	Informal and relative caregivers may obtain approved health and safety training through the local child care resource and referral agency or the local child and adult care food program.				
	6.3.e.	Thereafter, a caregiver shall annually complete at least one hour of self-directed study related to child development.				
	6.3.f.	A caregiver providing care for children under 13 months of age shall, within 60 days of accepting the child into care, complete either:				
	6.3.f.1.	A Sudden Infant Death Syndrome self-study packet; or				
	6.3.f.2.	Other approved Sudden Infant Death Syndrome training.				
	6.4.	Background Checks.				

	6.4.a.	A caregiver shall ensure that a state and federal fingerprint-based criminal background investigation is performed on the caregiver and each adult household member pursuant to the provisions of the West Virginia Clearance for Access: Registry and Employment Screening Act (WV CARES), W. Va. Code §16-49-1 et seq., and 69CSR10 and shall keep the following information on file:				
	6.4.a.1	A completed and signed WV CARES self-disclosure application and consent form. A copy of the form shall be on file and uploaded to the WV CARES system no later than the date of approval to operate;				
	6.4.a.2.	A variance or waiver if the individual has convictions or pending charges of disqualifying offenses;				
	6.4.a.3.	A fitness determination of eligibility from the WV CARES unit; and				
	6.4.a.4.	The caregiver shall update the documentation of a completed background check in the caregiver's and each adult household member's file at the expiration of rap back enrollment.				
	6.4.a.5.	A state check shall be done in any other state where the caregiver and each adult household member has resided in the past five years. Fingerprint checks for other states are optional.				
	6.4.a.6.	A new household member must have his or her criminal background check completed within 180 days of moving into the household.				
	6.4.b.	A state check of the sex offender registry or repository shall be done in the state where the caregiver and each adult household member currently resides and shall be done in any other state where the caregiver and each adult household member has resided in the past five years.				
	6.4.c.	A search shall be done of the state-based child and adult abuse and neglect registries and protective services databases in each state where the caregiver and each adult household member resided during the preceding five years. The same search shall be done in the state where the caregiver and each adult household member currently resides, and shall be repeated every five years.				
	6.4.d.	The secretary shall not grant a certificate of registration to an informal or relative family child care home if the caregiver or a household member is an active recipient of child or adult protective services or has a history of substantiated child or adult abuse or neglect as determined by a court of law or an investigation by the department's protective services staff.				
	6.4.e.	The secretary shall not grant a certificate of registration to an informal or relative family child care home if the caregiver or a household member:				
	6.4.e.1.	Is currently under indictment or charged with any crime referenced in paragraph 6.4.e.3;				
	6.4.e.2.	Is on parole or probation for a felony or disqualifying offense;				
	6.4.e.3.	Has been convicted of any of the disqualifying offenses as defined in W. Va. Code §16-49-1 and 69CSR10-2.10; or				
	6.4.e.4.	Other crimes that the secretary determines may pose a risk to children.				
	6.4.f.	If the caregiver or household member fails to report convictions to the department, the informal or relative family child care home shall not operate or continue operations unless the caregiver requests a waiver or variance, and it is approved by the secretary.				
	6.4.g.	An informal or relative family child care home shall report charges, indictments, and convictions of caregivers and household members to the department within 24 hours of their occurrence. If the informal or relative family child care home fails to report charges, indictments, and convictions within 24 hours of the their occurrence, the department will revoke the certificate of registration.				
	6.5.	Informal Family Child Care Home Capacity.				
	6.5.a.	An informal family child care home shall have no more than three children under 13 years of age in care at any one time.				
	6.5.b.	The number of children in the informal family child care home includes children under six years old who live in the home.				
§78-20-7. Home Safety Requirements	7.1.	Home Requirements. An informal or relative family child care home shall have working heat, electricity, indoor plumbing, a cook stove, a refrigerator, and access to a working telephone or communications device.				
	7.2.	Safety Barriers. Safety gates shall be provided at the top and bottom of stairs that are accessible to children under 24 months of age.				
	7.3.	Hazards. Informal or relative family child care homes shall:				
	7.3.a.	Store cleaning supplies, detergents, aerosol cans, pesticides, poisons, flammable materials, poisonous or unknown plants, medicines, alcoholic beverages, medical cannabis, and toxic materials out of the reach of children under six years of age;				
	7.3.b.	Store hazardous materials separately from food items; and				
	7.3.c	Store guns, ammunition, hunting knives, bows and arrows, and other weapons in a locked cabinet or locked closet.				

	7.4.	Heating. Unvented gas heaters may be used as a source of heat when both of the following requirements are met:				
	7.4.a.	A properly functioning digital carbon monoxide detector has been installed in a central location in the home; and				
	7.4.b.	The heater is equipped with an oxygen depletion sensor that automatically shuts the heater off if a hazardous level of carbon monoxide accumulates.				
	7.5.	Pets and Animals. An informal or relative family child care home shall:				
	7.5.a.	Confine animals that are sick, aggressive, or injured in an area not accessible to children;				
	7.5.b.	Keep pets such as birds, ferrets, reptiles, or wild animals in an area of the home or the premises that is not accessible to children and away from food preparation areas; and				
	7.5.c.	Keep documentation available on site of rabies vaccinations for all cats and dogs kept on the premises.				
	7.5.d.	When pets are living in the home, an acknowledgement must be signed by a parent of all children in care verifying that the following information was made available: the type of pet, breed of pet, spay/neuter status, and vaccination status. The caregiver must notify parents two weeks prior to a new pet being brought into the home.				
	7.6.	Play Areas. Indoor and outdoor play areas shall be free from vehicle traffic and unsanitary or hazardous items.				
	7.7.	Electrical Safety.				
	7.7.a.	Caregivers shall install protective covers on all unused electrical outlets accessible to children who are under five years of age.				
	7.7.b.	Extension cords shall be maintained in good condition, UL approved, and not run under carpets or rugs, or through common walkways unless stabilized to prevent tripping.				
§78-20-8. Fire Safety	8.1.	One working smoke detector shall be installed on every level of the home.				
	8.2.	An ABC-rated multi-purpose fire extinguisher shall be available in the home at all times.				
§78-20-9. Health, Sanitation, and Nutrition	9.1.	Health -- The caregiver shall obtain from the child's parent a record of each child's health examinations and immunizations and maintain the information in a file according to the following guidelines:				
	9.1.a.	A general medical examination shall be obtained for all children within 30 days of admission to the home. The examination may be completed no more than six months prior to the child's admission to the home.				
	9.1.b.	The caregiver shall provide parents with a WV HealthCheck periodicity chart for child health exams and shall obtain from the parent a new health assessment updated with new or current information at least every two years for any child under the age of six years.				
	9.1.c.	Immunization records shall be completed and updated according to the schedule recommended by the department.				
	9.1.d.	If immunizations are not current, the caregiver shall obtain a schedule for completion from the parent for the informal or relative family child care home's files, unless the parent provides written documentation from a physician that immunizations are contraindicated due to the child's medical condition. A grace period is permitted for children experiencing homelessness and children in foster care based on the individual circumstances of the child.				
	9.2.	Sanitation.				
	9.2.a.	The informal or relative family child care home shall have sufficient safe water for drinking, hand washing, and other household needs.				
	9.2.b.	The caregiver and the children shall thoroughly wash their hands with soap and water for at least 20 seconds any time they are soiled, including at the following times:				
	9.2.b.1.	Before eating;				
	9.2.b.2.	Before handling or preparing foods;				
	9.2.b.3.	After contact with animals;				
	9.2.b.4.	Before and after diaper changing, assisting a child with toilet use, or personal bathroom use; and				
	9.2.b.5.	When the caregiver or the children come into contact with blood or bodily fluids containing blood.				
	9.2.c.	The caregiver shall store food and drinks in closed containers and refrigerate as needed.				
	9.2.d.	To ensure the cleanliness of the home the caregiver shall:				
	9.2.d.1.	Cover garbage cans with lids or place cans in an area inaccessible to children, maintain them in a sanitary manner with liners, and empty them daily;				
	9.2.d.2.	Clean and disinfect bathroom facilities used by the children daily and when soiled;				

	9.2.d.3.	Clean dishes in a dishwasher or in hot water and detergent. If they are washed by hand, they shall be rinsed thoroughly and allowed to air dry;				
	9.2.d.4.	Maintain the informal or relative family child care home and furnishings in a safe and sanitary condition to prevent accidents and illnesses; and				
	9.2.d.5.	Ensure that the informal or relative family child care home is free of rodent or insect infestation.				
	9.3.	Nutrition. Children shall receive nutritious meals and snacks, served in a sanitary manner and in amounts adequate to meet their nutritional needs, based on current United States Department of Agriculture's Dietary Guidelines for Americans.				
§78-20-10. Water Safety	10.1.	The caregiver shall obtain written permission from parents before all water play or swimming activities, or both.				
	10.2.	The informal or relative family child care home shall ensure that all pools and hot tubs are either emptied or inaccessible to children when not in use.				
	10.3.	A caregiver shall be physically present and supervise the children at all times during water play or swimming activities, or both.				
§78-20-11. Emergency Practices	11.1.	Emergency Information -- An informal or relative family child care home shall ensure that written emergency information is available near the telephone or other communications device within easy access of the caregiver, children, and substitutes that contains telephone numbers for the following:				
	11.1.a.	Police and fire departments;				
	11.1.b.	The child abuse and neglect hotline;				
	11.1.c.	Ambulance service;				
	11.1.d.	A poison control center or the universal poison control center telephone number 1-800-222-1222;				
	11.1.e.	Each parent's work and home;				
	11.1.f.	A health care provider for each child; and				
	11.1.g.	An emergency substitute, if applicable.				
	11.2.	Prior to each child's placement in the home, the provider shall obtain emergency contact information for each child, which includes emergency contacts, family information, and permission to seek medical treatment. The provider shall:				
	11.2.a.	Maintain the emergency information in the provider's records;				
	11.2.b.	Update emergency information records when information changes; and				
	11.2.c.	Ensure that emergency consent forms are notarized.				
	11.3.	Evacuation Plan -- An informal or relative family child care home shall develop and post a written plan for an emergency evacuation in the event of fire, natural disaster, or other threatening situations that may pose a health or safety hazard to the children in the informal or relative family child care home. The plan shall include, but not be limited to:				
	11.3.a.	A designated relocation site and evacuation site;				
	11.3.b.	Procedures for notifying parents of the relocations and ensuring family reunification;				
	11.3.c.	Procedures to address the needs of individual children including children with special needs; and				
	11.3.d.	Coordination with local emergency management officials.				
	11.3.e.	The informal or relative family child care home shall:				
	11.3.e.1.	Update the evacuation plan by December 31 of each year and provide a copy to the child care regulatory specialist. If the provider fails to update the plan, the child care regulatory specialist shall take no action against the provider's certificate of registration until notice is provided and the provider is given 30 days after the receipt of notice to provide an updated plan.				
	11.3.e.2.	Retain an updated copy of the plan for evacuation and shall provide notice of the plan and notification that a copy of the plan will be provided upon request to any parent, custodian, or guardian or each child at the time of the child's enrollment in the child care service and when the plan is updated.				
	11.3.f.	The fire evacuation plan shall include a drawing of the primary and secondary exits from all areas of the house and meeting places for the children once they have exited the home.				
	11.3.g.	An informal or relative family child care home shall conduct monthly fire evacuation drills and maintain a written record of when they are conducted.				
	11.3.h.	An informal or relative family child care home shall develop, implement, and maintain a written procedure to practice moving to a safe location within the home at least two times a year and record the dates and times when the practice sessions are conducted; and				

	11.3.j.	An informal or relative family child care home shall develop, implement, and maintain a written procedure for practicing relocation with the operator and volunteers two times per year and record the dates and times when the practice sessions are conducted.				
	11.4.	First Aid Supplies -- An informal or relative family child care home shall keep the following first aid supplies available in a portable container and ensure they are out of the children's reach:				
	11.4.a.	A digital thermometer;				
	11.4.b.	Disposable gloves;				
	11.4.c.	Blunt-tipped scissors;				
	11.4.d.	Tweezers;				
	11.4.e.	Bandage tape;				
	11.4.f.	Sterile gauze;				
	11.4.g.	Non-medicated adhesive strips;				
	11.4.h.	Sealed packages of alcohol wipes or antiseptic;				
	11.4.i.	Soap;				
	11.4.j.	A first aid guide; and				
	11.4.k.	CPR mouthpiece.				
§ 78-20-12. Transportation	12.1.	When transporting children, the caregiver shall:				
	12.1.a.	Accompany children on activities that require transportation;				
	12.1.b.	Ensure the driver transporting the children has a valid drivers license; and				
	12.1.c.	Ensure the vehicle used to transport the children is maintained in safe running condition, with insurance and a current inspection sticker.				
	12.2.	An informal or relative family child care home shall ensure that children are secured in an approved child safety seat or in individual seat belts, as required by W. Va. Code §17C-15-46.				
§78-20-13. Program and Equipment	13.1.	Daily Routine -- An informal or relative family child care home shall have a daily routine appropriate to the ages of the children in care that includes:				
	13.1.a.	Specific meal times;				
	13.1.b.	Nap times for children who need them;				
	13.1.c.	Indoor play time; and				
	13.1.d.	Outdoor play time on days when temperatures are 40 degrees and above, weather and circumstances permitting.				
	13.2.	Program -- An informal or relative family child care home shall implement a program that is appropriate to the ages and stages of development of the children in care and enhances the healthy growth and development of the children.				
	13.3.	Equipment -- An informal or relative family child care home shall select toys, equipment, and activities that are nontoxic, safe, sturdy, easy to clean, and free of hazards.				
§78-19-14. Guidance and Discipline	14.1.	Guidance.				
	14.1.a.	The caregiver shall use guidance that helps the children understand appropriate behavior and is appropriate to their ages.				
	14.1.b.	An informal or relative family child care home shall have rules that are fair, consistent, and relevant to the children's ages.				
	14.1.c.	An informal or relative family child care home may use a time-out that lasts no more than one minute for each year of a child's age and only for the purpose of helping a child regain control.				
	14.1.d.	The caregiver and parents shall discuss and agree upon positive methods of guidance that encourage a child's acceptable behavior.				
	14.2.	Discipline -- A caregiver, household member, or substitute shall not use any of the following harmful forms of discipline:				
	14.2.a.	Punishing a child physically including spanking, hitting, kicking, biting, shaking, swatting, thumping, pinching, popping, shoving, spitting, or other cruel treatment;				
	14.2.b.	Punishing or threatening a child in association with food, sleep, rest, or toilet training;				
	14.2.c.	Putting anything in or on a child's mouth as punishment;				
	14.2.d.	Confining a child in a closet or locked room or using physical restraints for confinement;				
	14.2.e.	Using loud, profane, or abusive language or threats of physical punishment;				
	14.2.f.	Punishing a child psychologically including public or private humiliation, shaming, and negative remarks about the child or child's family;				
	14.2.g.	Punishing a child emotionally including rejecting, terrorizing, ignoring, or isolating the child; and				

	14.2.h.	Allowing a child or other adult to punish the children in care.				
	14.3.	A caregiver shall not seek or accept parental permission to use any punishments or acts prohibited in this rule.				
§78-20-15. Children Under 24 Months of Age	15.1.	Equipment -- An informal or relative family child care home:				
	15.1.a.	Shall provide children under 13 months of age with a crib, port-a-crib, or playpen that has no more than 2 3/8 inches between slats, side, or end panels;				
	15.1.b.	Shall not allow children under 13 months of age to sleep on any type of adult bed or sofa;				
	15.1.c.	Shall not place soft pillows or stuffed animals in beds with children under 13 months of age during sleep or nap times;				
	15.1.d.	Shall ensure that high chairs, infant swings, playpens, and cribs are safe, sanitary, and in good working condition; and				
	15.1.e.	Shall disinfect toys and play equipment used by children under 24 months of age after each use.				
	15.2.	Feeding and Care -- An informal or relative family child care home caring for infants and toddlers shall:				
	15.2.a.	Hold infants six months of age and younger while bottle-feeding. Bottle propping is prohibited;				
	15.2.b.	Place an infant who is unable to turn over independently on his or her back to sleep unless medical documentation prohibits sleep in that position;				
	15.2.c.	Visually observe and check on children in cribs and playpens every 15 minutes; and				
	15.2.d.	Discard any unused breast milk within two hours of feeding.				
	15.3.	Diapering and Toilet Training.				
	15.3.a.	A caregiver caring for children in diapers shall:				
	15.3.a.1.	Have sufficient quantities of clean diapers available; and				
	15.3.a.2.	Change diapers when they are soiled and dispose of them in a closed container that is emptied daily.				
	15.3.b.	An informal or relative family child care home caring for a child who is toilet training shall discuss and agree with the child's parent on a schedule for toilet training.				
§78-20-16. Required Reporting to the Department	16.1.	An informal or relative family child care home shall immediately report suspected child abuse or neglect to the department in accordance with W. Va. Code §49-2-101 et seq., and W. Va. Code §49-2-202 et seq.				
	16.2.	An informal or relative family child care home shall immediately report to the department any accidents or illnesses resulting in emergency treatment, hospitalization, or the death of a child and follow up with a written report form prescribed by the department within 72 hours.				
	16.3.	An informal or relative family child care home shall report the following within 72 hours:				
	16.3.a.	An adult added to the household so a criminal history background check can be completed;				
	16.3.b.	Major damage to the home caused by fire, flood, or storms;				
	16.3.c.	A change in address; and				
	16.3.d.	A change in phone number.				