West Virginia Department of Health and Human Resources  
Bureau for Public Health  
Office of Environmental Health Services  
Public Health Sanitation Division

Plan Review Information Packet  
For  
Food Manufacturing Facilities
INTRODUCTION

This food establishment Plan Review document has been developed for the purpose of assisting both regulatory and industry personnel in achieving greater uniformity in the plan review process.

Plan review of food service establishments, retail food stores, and all other food operations, must be maintained as a high priority by all regulatory food agencies for both new and existing facilities.

This document has been developed to serve as a guide in facilitating greater uniformity and ease in conducting plan review whether your position is a regulator or an industry person wishing to build or to expand. You need not be an expert to effectively complete this process.

A good review of plans helps to avoid future problems. By listing and locating equipment on floor plans and diagramming specifications for electrical, mechanical and plumbing systems, potential problems can be spotted while still on paper and modifications made BEFORE costly purchases, installation and construction.

Food establishment plan review is recognized as an important food program component that allows:

- Regulatory agencies to ensure that food establishments are built or renovated according to current regulations or rules.

- Industry to establish an organized and efficient flow of food.

- Regulatory agencies to eliminate code violations prior to construction.

The 2005 Food Code (which contains the recommendations of the United States Public Health Service, FDA and consists of model requirements for safeguarding public health and ensuring food is unadulterated and honestly presented when offered for sale to the consumer), is used as a reference in completing this guide.
Questions to Consider:

1. *Will the menu offer food that requires extensive preparation (washing, cutting, mixing, etc.)?

   The number and placement of hand sinks becomes more important with more complex food preparation. A culinary sink is needed for washing fruits and vegetables and for other preparation.

2. *What hours will the food service be open?... lunch and dinner?... 24 hours per day?

   Increased equipment capacity and storage space should be considered for establishments with extended hours of operation. Highly durable floor, wall and ceiling finishes should be considered.

3. *How much food will be cooked and immediately served, or prepared in advance for later service?

   Preparing food in advance requires more refrigeration space for thawing foods, cooling hot foods, and storing of cold foods.

4. *How often will supplies be delivered?

   The delivery frequency is important in determining the amount of refrigerated, frozen and dry food storage space.

5. *What is the maximum number of employees working on one shift?

   The number of employees is necessary to determine work/aisle space and the number of lockers to provide.

6. *Have you or any of your employees been trained in food safety or Hazard Analysis Critical Control Point (HACCP) concepts?

   Training in both food safety principles and HACCP principles provides you and your employees with insight into the numerous hazards encountered in a food establishment.

Specific Instructions to Applicants:

1. Fill out Section I.
2. Provide blueprints & equipment specification sheets.
3. Include proposed menu.
4. Provide site plan.
5. Keep copy for personal records.
6. Submit to Regulatory Authority for review.
PLAN REVIEW PROCESS FLOW CHART

New Food Establishment/New Owner/Major Renovations

Applicant Initiates Contact with Regulatory Authority to obtain Food Establishment Plan Review Application Package

Plan Submission & Review by Regulatory Authority & Other Agencies

Disapprove

Approval

Resubmitted with acceptable revision to the Regulatory Authority

Approval

Applicant contacts Regulatory Authority for construction inspections before final plumbing and after equipment installation

Applicant contacts Regulatory Authority for a pre-operational inspection at least 5 days prior to operation
DEFINITIONS

"Acceptable food equipment" means food equipment that is deemed to be in conformance with Food Code provisions such as equipment that is certified or classified for sanitation by an American National Standards Institute (ANSI)-accredited certification program. Such equipment is deemed to comply with Parts 4-1 and 4-2 of the Food Code.

Under ANSI document CA-1 ANSI Policy and Criteria for Accreditation of Certification Programs, it has been stipulated that:

"For food equipment programs, standards that establish sanitation requirements shall be specified government standards or standards that have been ratified by a public health approval step. ANSI shall verify that this requirement has been met by communicating with appropriate standards developing organizations and governmental public health bodies."

The term "certified" is used when an item of food equipment has been evaluated against an organization's own standard. The term "classified" is used when one organization evaluates an item of food equipment against a standard developed by another organization.

"Air Break" means a piping arrangement in which a drain from a fixture, appliance, or device discharges indirectly into another fixture, receptacle or interception at a point below the flood level rim. The connection does not provide an unobstructed vertical distance and is not solidly connected but precludes the possibility of backflow to a potable water source.

"Air Gap" means the unobstructed vertical distance through the free atmosphere between the lowest opening from any pipe or outlet supplying fixture, or other device, and the flood level rim of the receptacle. The vertical physical separation shall be at least two times the inside diameter of the water inlet pipe above the flood rim level but shall not be less than one inch.

"Approved" means acceptable to the regulatory authority based on a determination of conformity with principles, practices, and generally recognized standards that protect public health.

"Backflow" means the flow of water or other liquids, mixtures, or substances into the distributing pipes of a potable supply of water from any source or sources other than its intended source. Back-siphonage is one type of backflow.

"Backflow Preventer" means a device or means to prevent backflow.

"Back-siphonage" means the flowing back of used, contaminated, or polluted water from a plumbing fixture or vessel or other sources into a potable water supply pipe due to a negative pressure in such pipe.
"Corrosion-resistant material" means free of cracks or other openings that allow the entry or passage of moisture.

"Easily Disassembled Equipment" means equipment that is accessible for cleaning and inspection by:

(a) Disassembling without the use of tools, or

(b) Disassembling with the use of handheld tools commonly available to maintenance and cleaning personnel such as screwdrivers, pliers, open-end wrenches, and Allen wrenches.

"Easily Movable" means:

(a) Weighing 14 kg (30 pounds) or less; mounted on casters, gliders, or rollers; or provided with a mechanical means requiring no more than 14 kg (30 pounds) of force to safely tilt a unit of equipment for cleaning; and

(b) Having no utility connection, a utility connection that disconnects quickly, or a flexible utility connection line of sufficient length to allow the equipment to be moved for cleaning of the equipment and adjacent area.

"Equipment"

(a) "Equipment" means an article that is used in the operation of a food establishment such as a freezer, grinder, hood, ice maker, meat block, mixer, oven, reach-in refrigerator, scale, sink, slicer, stove, table, temperature measuring device for ambient air, vending machine, or warewashing machine.

(b) "Equipment" does not include items used for handling or storing large quantities of packaged foods that are received from a supplier in a cased or overwrapped lot, such as hand trucks, forklifts, dollies, pallets, racks, and skids.

"Facility" See "Physical facilities"

"Food Employee" means an individual working with unpackaged food, food equipment or utensils, or food-contact surfaces

§64-17-4. West Virginia Definition of Food Establishment.

4.1. Food establishment -- An operation that stores, prepares, packages, serves, vends, or otherwise provides food for human consumption:

4.1.a. Such as a restaurant; satellite or catered feeding location; catering operation if the operation provides food directly to a consumer or to a conveyance used to transport people; market; vending location; conveyance used to transport people; institution; or food bank; and

4.1.b. That relinquishes possession of food to a consumer directly, or indirectly through a
delivery service such as home delivery of grocery orders or restaurant takeout orders, or delivery service
that is provided by common carriers;

4.2 Food establishment includes:

4.2.a. An element of an operation such as transportation vehicle or a central preparation facility
that supplies a vending location or satellite feeding location unless the vending or feeding location
obtains a permit to operate in accordance with part 8-3 of the Food Code;

4.2.b. An operation that is conducted in a mobile, stationary, temporary, or permanent facility
or location; where consumption is on or off the premises; and regardless of whether there is a charge
for the food; and

4.2.c. An operation that offers cooking demonstrations or instruction, with or without student
participation, and the food is then consumed on or off premises.

4.3. Food establishment shall not include:

4.3.a. An establishment that offers only prepackaged foods that are not potentially hazardous
and does not provide reusable tableware to the consumer;

4.3.b. A produce stand that only offers whole, uncut fresh fruits and vegetables;

4.3.c. A food processing plant;

4.3.d. A kitchen in a private home if only food that is not potentially hazardous is prepared for
sale or service at a function such as a religious or charitable organization's bake sale and if the consumer
is informed by a clearly visible placard at the sales or service location that the food is prepared in a
kitchen that is not subject to regulation and inspection by the Commissioner;

4.3.e. An area where food that is prepared as specified in subdivision 4.3.d. of this subsection is
sold or offered for human consumption;

4.3.f. A kitchen in a family child care home; or

4.3.g. A private home that receives catered or home-delivered food.

"HACCP Plan" means a written document that delineates the formal procedures for following
the Hazard Analysis Critical Control Point principles developed by The National Advisory
Committee on Microbiological Criteria for Foods.

"Highly susceptible population" means a group of persons who are more likely than other
populations to experience foodborne disease because they are immunocompromised or older
adults and in a facility that provides health care or assisted living services, such as a hospital or
nursing home; or preschool age children in a facility that provides custodial care, such as a day
care center.
"Linens" means fabric items such as cloth hampers, cloth napkins, table cloths, wiping cloths, and work garments including cloth gloves.

"Physical facilities" means the structure and interior surfaces of a food establishment including accessories such as soap and towel dispensers and attachments such as light fixtures and heating or air conditioning system vents.

"Plumbing fixture" means a receptacle or device that:

(a) Is permanently or temporarily connected to the water distribution system of the premises and demands a supply of water from the system; or

(b) Discharges used water, waste materials, or sewage directly or indirectly to the drainage system of the premises.

"Plumbing system" means the water supply and distribution pipes; plumbing fixtures and traps; soil, waste, and vent pipes; sanitary and storm sewers and building drains, including their respective connections, devices, and appurtenances within the premises; and water-treating equipment.

"Potentially Hazardous Food" (PHF)

(a) "Potentially hazardous food" means a food that is natural or synthetic and that requires temperature control because it is in a form capable of supporting:

(i) The rapid and progressive growth of infectious or toxigenic microorganisms;

(ii) The growth and toxin production of Clostridium botulinum; or

(iii) In raw shell eggs, the growth of Salmonella enteritidis.

(b) "Potentially hazardous food" includes an animal food (a food of animal origin) that is raw or heat-treated; a food of plant origin that is heat-treated or consists of raw seed sprouts; cut melons; and garlic and oil mixtures that are not acidified or otherwise modified at a food processing plant in a way that results in mixtures that do not support growth as specified under Subparagraph (a) of this definition.

(c) "Potentially hazardous food" does not include:

(i) An air-cooled hard-boiled egg with shell intact;

(ii) A food with an aw value of 0.85 or less;

(iii) A food with a pH level of 4.6 or below when measured at 24°C (75°F);
(iv) A food, in an unopened hermetically sealed container, that is commercially processed to achieve and maintain commercial sterility under conditions of nonrefrigerated storage and distribution; and

(v) A food for which laboratory evidence demonstrates that the rapid and progressive growth of infectious or toxigenic microorganisms or the growth of S. enteritidis in eggs or C. botulinum can not occur, such as a food that has an aw and a pH that are above the levels specified under Subparagraphs (c)(ii) and (iii) of this definition and that may contain a preservative, other barrier to the growth of microorganisms, or a combination of barriers that inhibit the growth of microorganisms.

(vi) A food that may contain an infectious or toxigenic microorganism or chemical or physical contaminant at a level sufficient to cause illness, but that does not support the growth of microorganisms as specified under Subparagraph (a) of this definition.

"Premises" means:

(a) The physical facility, its contents, and the contiguous land or property under the control of the permit holder; or

(b) The physical facility, its contents, and the land or property not described under Subparagraph (a) of this definition if its facilities and contents are under the control of the permit holder and may impact food establishment personnel, facilities, or operations, if a food establishment is only one component of a larger operation such as a health care facility, hotel, motel, school, recreational camp, or prison.

"Refuse" means solid waste not carried by water through the sewage system.

"Regulatory Authority" means the local, state, or federal enforcement body or authorized representative having jurisdiction over the food establishment.

"Safe material" means:

(a) An article manufactured from or composed of materials that may not reasonably be expected to result, directly or indirectly, in their becoming a component or otherwise affecting the characteristics of any food;

(b) An additive that is used as specified in ? 409 or 706 of the Federal Food, Drug, and Cosmetic Act; or

(c) Other materials that are not additives and that are used in conformity with applicable regulations of the Food and Drug Administration.

"Sealed" means free of cracks or other openings that allow the entry or passage of moisture.
"Sewage" means liquid waste containing animal or vegetable matter in suspension or solution and may include liquids containing chemicals in solution.

"Smooth" means:

(a) A food-contact surface having a surface free of pits and inclusions with a cleanability equal to or exceeding that of (100 grit) number 3 stainless steel;

(b) A nonfood-contact surface of equipment having a surface equal to that of commercial grade hot-rolled steel free of visible scale; and

(c) A floor, wall, or ceiling having an even or level surface with no roughness or projections that render it difficult to clean.

"Vacuum Breaker" See "Backflow Preventer"

Acknowledgements:

FDA and CFP Plan Review Guide Team

WV Food Safety and Defense Task Force
SECTION 1 – MENU AND FLOW

The menu review and the flow of food through the facility are integral parts of the plan review process. The menu or a listing of all of the food and beverage items to be offered at the food establishment must be submitted by the applicant to the regulatory authority with the submission of all other Plan Review application documents.

As with the inspection process, the plan review process should focus on the food and its flow through receipt, storage, preparation and service. The source and quantity of food to be served should be reviewed along with the preparation and post-preparation operations. It is imperative to have a knowledge of this information so that a proper assessment of the physical facilities can be made.

Layout, flow and menu (including food preparation processes) should be major considerations to help facilitate an operator's Active Managerial Control (AMC) of the risk factors for foodborne illness. Strategic layout and placing of facilities and equipment will separate different food preparation processes, a major step towards preventing contamination of food that may result from poor personal hygiene, contaminated equipment, and improper holding temperatures. Adequate and convenient storage will also enhance operations. The menu and methods of food preparation are the key elements in the layout and flow of the establishment.

Food preparation processes should be evaluated to determine the types and volumes of foods to be prepared. Special attention should be given to the review of complex food processes which involve:

- Multiple ingredients being assembled or mixed
- Potentially hazardous foods (time/temperature control for safety foods)
- Foods which will be prepared or held for several hours prior to service
- Foods requiring cooling and reheating
- Multiple step processing (passing through the critical temperature zone, 135°F - 41°F more than once)

The process approach can be described as dividing the many flows in an establishment into broad categories, analyzing the risks, and placing manager controls on each grouping. These groupings will also impact the facility design; food flow; and the numbers, types, function and placement of equipment.

The food that flows through retail food establishment operations can be placed into the 3 following processes:

- **FOOD PROCESSES WITH NO COOK STEP**
  - Receive – Store - Prepare – Hold – Serve
    (Other processes may occur, but there is **NO cooking step**)
  - Examples: Salads, deli meats, cheeses, sashimi, raw oysters

- **FOOD PREPARATION FOR SAME DAY SERVICE**
- Receive - Store - Prepare - Cook - Hold - Serve
  (Other processes may occur, including thawing)
- Examples: Hamburgers, fried chicken, hot dogs

- COMPLEX PROCESSES
  - Receive - Store - Prepare - Cook - Cool - Reheat - Hot Hold - Serve
    (Other processes may occur, but the key is repeated trips through the
temperature danger zone)
  - Examples: Refried beans, leftovers

The system is very useful since the critical control points for each process remain the
same regardless of the individual menu ingredients.

The menu for a food establishment dictates the space and equipment requirements for
the safe preparation and service of various food items. The menu will determine if the
proposed receiving and delivery areas, storage area, preparation and handling areas,
and thawing, cooking and reheating areas are available and adequate to handle the
types and volumes of foods being prepared and served.

When reviewing the menu, it is important to evaluate the flow patterns for the
preparation of the food to be sure that the lay-out of the facility provides an adequate
separation of raw ingredients from ready-to-eat foods, and that the traffic patterns are
not crossing paths with waste items and other sources of contamination. Cross
contamination can be minimized when the flow of food is considered during plan review.

With a proper understanding of the menu and flow, the plans for food establishments
can be reviewed to help assure that the food items being considered can be protected
during all aspects of the food operation.

Equipment and facilities can be evaluated by following the food flow processes.
<table>
<thead>
<tr>
<th>Food Flow Process with NO COOK STEP</th>
<th>Receive</th>
<th>Store</th>
<th>Prepare</th>
<th>Hold</th>
<th>Serve</th>
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<tbody>
<tr>
<td>Equipment &amp; Facilities that may be used</td>
<td>Thermometers</td>
<td>Dry Storage Refrigerated Storage Frozen Storage Thermometers</td>
<td>Preparation Tables Cutting Boards Utensils Handwash Sinks Preparation Sinks Refrigerators</td>
<td>Refrigerators Ice Cold Holding Facilities Thermometers Handwashing Sinks</td>
<td>Cold Holding Facilities at the Service Area Thermometers Handwashing Sinks</td>
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<th>Food Preparation for SAME DAY SERVICE</th>
<th>Receive</th>
<th>Store</th>
<th>Prepare</th>
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<td>Thermometers</td>
<td>Dry Storage Refrigerated Storage Frozen Storage Thermometers</td>
<td>Preparation Tables Cutting Boards Utensils Handwash Sinks Preparation Sinks Refrigerators</td>
<td>Cooking Equipment -Fryers -Ovens -Broilers -Grills -Cook Tops -Griddles -Other Thermometers Handwashing Sinks</td>
<td>Refrigerators Ice Cold Holding Facilities Hot Holding Facilities Food Warmers Preparation Worktops/Table S Thermometers Handwashing Sinks</td>
<td>Cold Holding Facilities at the Service Area Thermometers Handwashing Sinks</td>
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<tr>
<td>Complex Processes that may be used</td>
<td>Receive Thermometers</td>
<td>Store Dry Storage Refrigerated Storage Frozen Storage Thermometers</td>
<td>Prepare Preparation Tables Cutting Boards Utensils Handwash Sinks Preparation Sinks Refrigerators</td>
<td>Cook Cooking Equipment -Fryers -Ovens -Broilers -Grills -Cook Tops -Griddles -Other Thermometers Handwashing Sinks</td>
<td>Cool Preparation Sinks Ice Baths Blast Chillers Shallow Pans Refrigerators Chill Sticks Thermometers Handwashing Sinks Preparation Tables Other</td>
<td>Reheat Fryers Ovens Grills Burners Griddles Other Handwashing Sinks</td>
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SECTION 2- FACILITIES TO MAINTAIN PRODUCT TEMPERATURE

Hot-holding and cold-holding facilities must be designed, constructed and installed to comply with Chapter 4 of the FDA Food Code. Food equipment that is certified or classified for sanitation by an ANSI accredited program is deemed to comply with Parts 4-1 and 4-2 of the FDA Food Code.

REFRIGERATION SIZING AND DESIGN

Plan review must consider the need to provide adequate refrigeration facilities for the proper storage, transportation, display, and service of PHF(TCS) food. Specific refrigeration needs are based on the menu, number of meals, frequency of deliveries, and food to be prepared in advance of service. All refrigerators must be capable of maintaining PHF(TCS) food at 41°F or below.

If PHF(TCS) foods are prepared a day or more in advance of service, a rapid cooling procedure capable of cooling PHF(TCS) foods from 135°F to 41°F within 6 hours (135°F to 70°F within 2 hrs.) must be provided. The capacity of the rapid cooling facilities must be sufficient to accommodate the volume of food required to be cooled to 41°F within 6 hours. The location of the rapid cooling facilities (e.g., sinks for ice baths, freezer storage for ice wands, blast chillers) must be identified. Refrigerators and freezers at work stations for operations requiring preparation and handling of PHF(TCS) foods should be considered. For example, it may be necessary to locate a freezer near the fryer where frozen products will be deep-fried. Refrigeration units, unless designed for such use, should not be located directly adjacent to cooking equipment or other high heat producing equipment which may adversely impact the cooling system's operation.

CALCULATING REFRIGERATED STORAGE NEEDS

To plan refrigeration storage, the following items should be considered: menu, type of food operation, number of meals per day, number of deliveries per week, and adequate ventilation in the areas where the refrigeration systems will be located.

One formula that can be used to establish required walk-in refrigeration storage is:

Total Interior Storage Volume Needed:

\[
\text{Volume per meal}^1 \text{ (ft.}^3\text{)} \times \text{number of meals between deliveries}^{.40^2}
\]

For example, if a food establishment serves 1,000 meals between deliveries, the following refrigerated walk-in storage capacity would be needed:

\[
0.1 \text{ ft.}^3/\text{meal} \times 1000 \text{ meals}^{.40} = 250 \text{ cubic feet}
\]

---

1 Volume per meal is estimated to be 0.1 cubic feet
2 Only 40% of any walk-in unit actually provides usable space.
| Storage Volume | Number of Meals | Storage Volume | Number of Meals | Storage Volume | Number of Meals | Storage Volume | Number of Meals | Storage Volume | Number of Meals | Storage Volume | Number of Meals | Storage Volume | Number of Meals | Storage Volume | Number of Meals |
|----------------|-----------------|----------------|-----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|----------------|
| 750.00         | 0.00            | 600.00         | 1500            | 2250.00        | 1000           | 250.00         | 1000           | 200.00         | 900            | 375.00         | 200            | 275.00         | 125           | 275.00         | 125           |
| 775.00         | 800             | 625.00         | 1900            | 2500.00        | 1250           | 275.00         | 100            | 200.00         | 90             | 400            | 200            | 275.00         | 125           | 275.00         | 125           |
| 800.00         | 1000            | 650.00         | 2250.00         | 287.50         | 150           | 275.00         | 150            | 200.00         | 90             | 400            | 200            | 275.00         | 125           | 275.00         | 125           |
| 825.00         | 1500            | 675.00         | 2500.00         | 312.50         | 125           | 275.00         | 125            | 200.00         | 90             | 400            | 200            | 275.00         | 125           | 275.00         | 125           |
| 850.00         | 1750            | 700.00         | 287.50         | 312.50         | 150           | 275.00         | 125            | 200.00         | 90             | 400            | 200            | 275.00         | 125           | 275.00         | 125           |
| 875.00         | 1900            | 725.00         | 312.50         | 312.50         | 125           | 275.00         | 100            | 200.00         | 90             | 400            | 200            | 275.00         | 125           | 275.00         | 125           |
| 900.00         | 2250.00         | 750.00         | 312.50         | 312.50         | 150           | 275.00         | 125            | 200.00         | 90             | 400            | 200            | 275.00         | 125           | 275.00         | 125           |

COLD STORAGE CHART FOR WALK-IN UNITS

Divide the storage volume by the height of the unit.

Note: To calculate the interior floor area (ft²) required for walk-in refrigeration units using the following charts, the following charts are based on the volume of the meals, number of meals served and frequency of delivery.
Only 75% of any reach-in unit actually provides usable space.

Volume per meal is estimated to be .1 cubic feet.

\[
0.1 \frac{\text{cubic feet}}{\text{meal}} \times 1000 \text{ meals} = 133 \text{ cubic feet}
\]

For example, if a food establishment serves 1,000 meals between deliveries, the following reach-in refrigerated storage capacity would be needed:

\[
75 \frac{\text{cubic feet}}{\text{meal}} \times \text{number of meals between deliveries}
\]

Total interior storage volume needed:

One formula that can be used to establish required reach-in refrigeration storage is:
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<td></td>
<td></td>
<td>2000</td>
<td>266.67</td>
<td>3000</td>
<td>400.00</td>
</tr>
</tbody>
</table>
ADDITIONAL REQUIREMENTS AND RECOMMENDATIONS FOR REFRIGERATED AND FROZEN STORAGE FACILITIES

A. All refrigeration units must have numerically scaled indicating thermometers accurate to ± 3°F. Temperature sensing devices must be located in the unit to measure air temperature in the warmest part of the refrigerator (usually near a door opening). Refrigerators and freezers shall be capable of maintaining required temperatures.

B. Air circulation within refrigeration and freezer units should not be obstructed and should allow for an even and consistent flow of cold air throughout the units.

C. Interior and exterior floor/wall junctures of walk-in refrigerators and freezers must have approved coved junctures.

D. The use of galvanized metal in refrigerated storage facilities is subject to rust that may lead to cleaning and durability issues.

E. Gaps and openings around walk-in refrigerator and freezers must be properly sealed to the walls or properly spaced to allow for cleaning behind and around the units. Reach-in refrigerators and freezers that are fixed in place shall be spaced to allow for cleaning along all sides of the unit or sealed to adjoining equipment or walls. Refer to Section 6 of this document.

F. Refrigeration and freezer units should not be installed with access from the exterior of the building unless food can be protected when transported from the unit into the food establishment.

G. If the walk-in floors will be water-flushed for cleaning or receive the discharge of liquid waste or excessive melt water, the floors should be sloped to drain. If the structure of the walk-in is integral with the building, properly installed floor drains may be installed inside the unit.

H. Each walk-in unit shall be equipped with lighting that provides 10 foot candles of light throughout the unit when it is full of product. Lights must be properly shielded or shatter resistant.

I. Lockable casters are recommended for reach-in refrigerators and freezers.

RAPID CHILL REFRIGERATION UNITS
Construction and installation of rapid chill refrigeration units (i.e., blast chillers) shall be similar to refrigerated and frozen storage facilities. Rapid chill
re refrigeration units must be capable of cooking cooked PHF(TCS) food from 135°F to 41°F within 6 hours (135°F to 70°F within 2 hrs.).

COOKING FACILITIES

A. The types and location of cooking facilities must be based upon the types and methods of food preparation.

B. Cooking facilities must be capable of heating PHF(TCS) food to the minimum time/temperature requirements:

<table>
<thead>
<tr>
<th>Food Type</th>
<th>Temperature</th>
<th>Time</th>
</tr>
</thead>
<tbody>
<tr>
<td>beef roasts</td>
<td>130°F</td>
<td>(112 min)</td>
</tr>
<tr>
<td>cooked fruits and vegetables</td>
<td></td>
<td></td>
</tr>
<tr>
<td>cooked with hot hold</td>
<td>135 °F</td>
<td></td>
</tr>
<tr>
<td>solid seafood pieces</td>
<td>145°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>other PHF(TCS) food</td>
<td>145°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>eggs:</td>
<td></td>
<td></td>
</tr>
<tr>
<td>Immediate service</td>
<td>145°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>pooled*</td>
<td>155°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>(*pasterlized eggs must be served to a highly susceptible population)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>pork</td>
<td>145°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>comminuted meats/fish</td>
<td>155°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>poultry</td>
<td>165°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>reheated PHF(TCS) food</td>
<td>165°F</td>
<td>(15 sec)</td>
</tr>
<tr>
<td>stuffed fish, meat, pork</td>
<td>165 °F</td>
<td>(15 sec)</td>
</tr>
</tbody>
</table>

C. Cooking equipment must be constructed and installed in accordance with Chapter 4 of the FDA Food Code.

D. Lockable casters with flexible utility lines are recommended to facilitate cleaning. Refer to Section 6 of this document.

E. If cooking equipment uses water or steam, methods for filling and draining the units must be identified.
HOT HOLDING AND REHEATING FACILITIES

A. Hot holding facilities must be capable of maintaining PHF(TCS) food at an internal temperature of 135°F or above during display, service and holding periods.

B. Reheating equipment must be capable of raising the internal temperature of PHF(TCS) food rapidly (within a maximum of 2 hours) to at least 165°F. Appropriate product thermometers will be required to monitor the food temperature.

C. Hot holding and reheating facilities must be constructed and installed in accordance with Chapter 4 of the FDA Food Code.

D. Lockable casters with flexible utility lines are recommended to facilitate cleaning. Refer to Section 6 of this document.

E. If hot holding units use water or steam, methods for filling and draining the units must be identified.
SECTION 3 - FACILITIES TO PROTECT FOOD

Adequate facilities must be provided to protect food, promote good hygienic practices, and minimize the potential of cross contamination between ready-to-eat food and raw products.

GENERAL FOOD PROTECTION

Food preparation areas for handling, washing and preparing raw meat, fish, and poultry must be identified. Procedures for cleaning and sanitizing food contact surfaces, equipment, and utensils between use must be identified during plan review.

All food being displayed, served, or held must be adequately protected from contamination by the use of: packaging; serving line, storage or salad bar protection devices; display cases; or by other effective means.

Food shields should intercept the direct line between the customer’s mouth and the food on display. The average height of a customer’s mouth is 4'6" to 5'. This average may have to be adjusted for small children in educational institutions and for other special installations. Figures 3-1 through 3-3 are examples of food shields and how to measure them for protection.

Cleaned equipment and utensils shall be stored in a clean, dry location where they are not exposed to splash, dust, or other contamination. Clean equipment and utensil storage should be identified at utensil-washing areas, plating areas, service areas, salad bars, wait stations and other areas where cleaned items are stored or dispensed.

FOOD PREPARATION SINKS

Separate food preparation sinks for working with raw foods followed by working with ready-to-eat foods should be considered. If separate food preparation sink(s) are not proposed, written procedures for cleaning and sanitizing sinks between uses should be provided during the plan review process.
Buffet Food Shields
Measured from Counter Top

Figure 3-1
Double Buffet Service

Single Buffet Service

Cafeteria Service

Double Tier Cafeteria Service

Buffet Food Shields

Figure 3-2
Buffet Food Shields
Measured from Floor

Figure 3-3
SECTION 4 – HYGIENE FACILITIES

HANDWASHING

Handwashing is a critical factor to prevent contamination of foods. Proper handwashing reduces the amount of pathogens that can be transmitted via cross contamination from raw foods to ready-to-eat foods. Many employees fail to wash their hands as often as necessary due to the lack of conveniently located handwashing sinks. It is important that handwashing be done only at properly equipped handwashing sinks to help ensure that employees effectively clean their hands and minimize contamination of food and food contact surfaces.

A handwashing sink, hand drying device or disposable towels, hand cleanser and waste receptacle shall be located for convenient use by employees who work in food preparation, food dispensing, and warewashing areas. Nothing must block the approach to a handwashing sink. Handwashing sinks must also be located in or immediately adjacent to toilet rooms. Handwashing sinks shall be of sufficient number and conveniently located for use by all employees in food preparation, food dispensing, and warewashing areas. Handwashing sinks shall be easily accessible and may not be used for purposes other than handwashing. Sinks used for food preparation or for washing equipment or utensils shall not be used for handwashing.

Each handwashing sink shall be provided with hot and cold water tempered by means of a mixing valve or a combination faucet to provide water at a temperature of at least 100°F. If used, self-closing, slow-closing or metering faucets shall be designed to provide a flow of water for at least 15 seconds without the need to reactivate the faucet.

Splash from use of a handwashing sink may not contaminate food, food contact surfaces, clean equipment or utensils. A washable baffle or barrier may be needed if the handwashing sink is located next to a food preparation or food contact surface and if the space between the handwashing sink and food, food preparation, food contact surfaces, and clean utensils does not provide adequate protection.

Similarly, the location of soap and paper towel dispensers at handwashing sinks must be reviewed during plan review so that their use does not contaminate food and food contact surfaces. In addition, the distance that employees would have to reach the faucet handles, soap and paper towels must be reviewed during plan review to assure that they will have proper access to the handwashing sinks and will not have to reach across dirty surfaces while washing their hands.
TOILET ROOMS

Properly functioning toilet facilities must be accessible to employees at all times.

If required by federal, state, local or tribal laws and regulations, toilet facilities must be made available to the customers. If the public toilet facilities are used by employees, separate toilet facilities may not have to be installed for the employees. Toilet facilities must be made accessible in accordance with the Americans with Disabilities Act (ADA) of 1990.

The floors, walls, and ceiling in toilet rooms shall be smooth and easily cleanable. The walls around toilets, urinals, toilet paper dispensers, soap dispensers, and paper towel dispensers should be water resistant and durable for frequent cleaning.

The minimum requirements for toilet facilities shall include:

**Toilet**
At least one toilet and not fewer than the number of toilets required by law shall be provided. If authorized by law, urinals may be substituted for toilets in men’s toilet rooms.

**Handwashing facility**
Each handwashing sink shall be provided with hot and cold water tempered by means of a mixing valve or a combination faucet to provide water at a temperature of at least 100°F. If used, self-closing, slow-closing or metering faucets shall be designed to provide a flow of water for at least 15 seconds without the need to reactivate the faucet.

**Handwashing cleanser**
Each handwashing sink or group of two adjacent handwashing sinks shall be provided with hand cleaning liquid, powder or bar soap. A dispenser shall be provided for handwashing cleanser provided in liquid or powder form.

**Hand drying facility**
Each handwashing sink or group of adjacent handwashing sinks shall be provided with individual, disposable towels; a continuous towel system that supplies the user with a clean towel; or heated-air hand drying device.

**Toilet paper**
A supply of toilet paper shall be provided in a dispenser at each toilet.

**Waste receptacle**
If disposable towels are used, a waste receptacle shall be located at each sink or group of sinks. At least one covered waste receptacle shall be provided in toilet rooms used by females.
Ventilation
Toilet rooms must be vented to the outside. Mechanical ventilation shall be installed in toilet rooms according to law. If allowed by law, openable screened windows may be used in lieu of mechanical ventilation devices.

Toilet room doors
Toilet room doors shall be tight-fitting and self-closing.

Lighting
At least 215 lux (20 foot candles) shall be provided in toilet rooms.
SECTION 5 – WATER SUPPLY AND SEWAGE DISPOSAL

The primary concerns relative to the water supply and sewage disposal in a retail food establishment are to:

1) Ensure the facility is supplied with a safe and adequate water supply;
2) Verify that the water can remain safe while it is in the facility; and
3) Ensure the facility is disposing wastewater properly.

Safe Source: Start at the water source. Determine if the water is potable or non-potable. The availability of an approved public water supply must be verified. Any use of a non-public water source (well water) shall comply with local, state, and/or federal laws, and construction and testing standards.

What you need to review:

Sufficient potable water: Potable water shall be provided from a source constructed and operated according to law that meets the peak water demands of the food establishment.

Hot Water Temperature: The hot water supply shall be sufficient to satisfy peak hot water demands of the establishment. Hot water for handwashing and most food establishment uses shall be at least 100°F. Hot water for mechanical warewashing must be boosted up to 150°F-165°F for washing and 165°F-180°F for sanitizing or according to the manufacturer’s data plate on the machine. The temperature of the wash solution for spray-type warewashers that use chemicals
to sanitize may not be less than 120°F.

The temperature of the wash solution for manual warewashing must be maintained to not less than 110°F. The water temperature for manual hot water sanitization must be at least 171°F.

There are two primary methods used to determine hot water needs:
- Energy Methods
- Recovery Rate Model

**Method 1: Energy Methods: (BTUs vs. KWs)**

The energy method for determining hot water needs of food establishments is calculated by adding together all of the energy requirements for each fixture using hot water. For these calculations, it is generally assumed that the temperature of incoming water to the food establishment is 40°F unless specific data is provided and 1 gallon of water equals 8.33 lbs. It is necessary to calculate both the demand for hot water in gallons per hour (gph) and the temperature rise\(^1\) needed for each piece of equipment. This information can then be converted to BTU's (for gas fired heaters) or KW (for electrical heaters). The required BTU or KW capacity of the water heater will then be determined by adding up the individual BTU or KW requirements for each piece of equipment.

**Formula to calculate the BTU's needed for gas hot water heaters:**

\[
\text{For gas heaters (in BTU's):} \\
\text{Required BTU =} \quad \text{Gallons per hour of water } \times \text{ Temp. rise } \times \frac{8.33}{0.70} \quad \text{(operating efficiency)}
\]

**Formula to calculate the KW's needed for electric hot water heaters:**

\[
\text{For electrical heaters (in KW):} \\
\text{Required KW =} \quad \text{Gallons per hour of water } \times \text{ Temp. rise } \times 3412 \quad \text{(BTU's per KW)}
\]

The following examples illustrate the energy method of approximating the size of the hot water heater needed for the equipment specified:

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Gallons Per Hour Demand from Chart or Spec. Sheet</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
</table>

\(^1\) Temperature rise is the hot water temperature required minus the temperature of the water coming into the facility. For example, if the temperature of water entering a food establishment is 40°F and 100°F is needed at a handwashing sink, then the temperature rise would be 110°F - 40°F or 70°F.
### Three Compartment Sink

<table>
<thead>
<tr>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>60 (gph) x 100 degree temp. rise x 8.33</td>
<td>140°F</td>
<td>100°F</td>
</tr>
<tr>
<td>.70 (operating efficiency)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>= 71,400 BTU's</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**OR**

<table>
<thead>
<tr>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>60 (gph) x 100 degree temp. rise x 8.33</td>
<td>140°F</td>
<td>100°F</td>
</tr>
<tr>
<td>3412 (BTU's per KW)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>= 14.65 KW</td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

### Hand Sink

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hand sink</td>
<td>5 (gph) x 70 degree temp. rise x 8.33</td>
<td>110°F</td>
<td>70°F</td>
</tr>
<tr>
<td></td>
<td>.70 (operating efficiency)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>= 4,165 BTU's</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**OR**

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>5 (gph) x 70 degree temp. rise x 8.33</td>
<td>110°F</td>
<td>70°F</td>
<td></td>
</tr>
<tr>
<td>3412 (BTU per KW)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>= 0.85 KW</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

---

### Chemical/Mechanical Warewasher

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>64</td>
<td>64 (gph) x 100 degree temp. rise x 8.33</td>
<td>140°F</td>
<td>100°F</td>
</tr>
<tr>
<td></td>
<td>.70 (operating efficiency)</td>
<td></td>
<td></td>
</tr>
<tr>
<td>= 76,160 BTU's</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>

**OR**

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>Chemical/Mechanical warewasher</td>
<td>64 (gph) x 100 degree temp. rise x 8.33</td>
<td>140°F</td>
<td>100°F</td>
</tr>
<tr>
<td>3412 (BTU per KW)</td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>= 15.62 KW</td>
<td></td>
<td></td>
<td></td>
</tr>
</tbody>
</table>
### Equipment Demand from Chart or Spec. Sheet

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Gallons Per Hour</th>
<th>Temperature Required</th>
<th>Temp. Rise</th>
</tr>
</thead>
<tbody>
<tr>
<td>Hot water Sanitizing Mechanical warewasher</td>
<td>64</td>
<td>180°F</td>
<td>40°F</td>
</tr>
</tbody>
</table>

\[
\frac{64 \text{ (gph)} \times 40 \text{ degree temp rise} \times 8.33}{3412 \text{ (BTU per KW)}} = 6.2 \text{ KW}
\]

\[
\frac{64 \text{ (gph)} \times 40 \text{ degree temp rise} \times 8.33}{70 \text{ (operating efficiency)}} = 30,464 \text{ BTU's}
\]

For mechanical warewashing, assume a hot water demand based on a primary rise in temperature to 140°F. A booster heater would then be needed to boost the required gallons per hour demand an additional 40°F to attain the required 180°F final rinse temperature. In this example, the total demand in BTU’s or KW for the primary water heater would be:

3 Compartment sink  =  71,400 BTU or 14.65 KW
1 Hand sink        =  4,165 BTU or  0.85 KW
1 Mechanical warewasher  =  76,160 BTU or 15.62 KW
TOTAL DEMAND       =  151,725 BTU or 31.12 KW

In addition, a booster heater for the warewasher must be provided and sized to supply an additional 30,464 BTU or 6.2 KW.

All hot water generating equipment should conform to nationally recognized standards. The manufacturers’ specification sheets (cut sheets) should be consulted for hot water supply requirements.

The above formula is one method of calculating the energy needed for water heaters. Other suitably developed calculations may be submitted for consideration.
Method 2: Recovery Rate Model for Determining Hot Water Supply Requirements

Recovery rate is defined as the amount of hot water supplied by a water heater in one hour's time. Recovery rate is expressed in gallons per hour (GPH), and is determined by the water heater's input (BTW or kW) according to the following tables.

Hot water recovery is based on fixture requirements in accordance with the following guidelines:

Fixtures must be added together to determine hot water needs.

- Prep sink compartments = 5 GPH each
- Hand sinks = 5 GPH
- Mop sinks = 10 GPH
- Clothes washer = 15 GPH
- Hose reel = 10 GPH
- Pot sink = sink vat size inch$^3 \times$ # of compartments $\times$ .003255 inch$^3$

Example for pot sink:
- Sink vat size = (24X24X14) X 3 compartments $\times$ .003255 inch$^3$ = 79 GPH
- Dish machine = 70% of "final rise usage" found on manufacturer's spec sheet
- Pre-rinse spray = 45 GPH
GUIDELINES FOR SIZING WATER HEATERS
California Conference of Directors of Environmental Health
September, 1995

I. BACKGROUND
A critical factor in preventing foodborne illnesses in a food facility is the provision of an adequate supply of hot water for the washing of hands, utensils, equipment, and the facility itself. The installation of a properly sized water heater will ensure that a sufficient amount of hot water will be available at all times.

II. PURPOSE
The purpose of these guidelines is to provide a set of criteria that will assist architects, designers, contractors and owners in properly sizing water heaters to adequately meet the anticipated hot water demands of food facilities in California. Food facilities with water heaters sized according to these criteria should be capable of complying with the requirements for providing an adequate hot water supply as required by the California Uniform Retail Food Facilities Law.

III. LEGAL AUTHORITY
California Health and Safety Code, Chapter 4, Article 8, Sections 27623, 27624, 27625, 27627, and 27627.3.

IV. DEFINITIONS

- **Booster Heater:** An instantaneous water heater designed and intended to raise the temperature of hot water to a higher temperature for a specific purpose, such as for the sanitizing rinse on a high temperature automatic dishmachine.

- **BTU (British Thermal Unit):** The quantity of heat required to raise the temperature of one pound of water one degree Fahrenheit.

- **GPH (Gallons Per Hour):** The amount of water, in gallons, that is used each hour by the plumbing fixtures and equipment, such as dishmachines.

- **GPM (Gallons Per Minute):** The amount of water, in gallons, flowing through a plumbing fixture or through an instantaneous water heater per minute.

- **Instantaneous Water Heater:** A water heater that generates hot water on demand.

- **KW (Kilowatt):** A unit of electric power equal to 1,000 watts.

- **Rise:** The temperature of water as it leaves the water heater minus the temperature of the water entering the water heater.

- **Storage Water Heater:** A water heater that incorporates a thermostat, a storage tank, and a burner or heating elements, to heat and maintain the water within the tank at a specific temperature.

- **Thermal Efficiency:** The measure of the overall efficiency of the water heater, taking into consideration loss of energy due to combustion, radiation, convection and conduction of heat from the unit.
V. GENERAL REQUIREMENTS
A. A water heater shall be provided which is capable of generating an adequate supply of hot water, at a temperature of at least 120° Fahrenheit, to all sinks, janitorial facilities, and other equipment and fixtures that use hot water, at all times.

B. Water heaters and their installation must be in compliance with all local building code requirements.

C. Water heaters that use reclaimed heat from equipment to heat water must be evaluated on a case by case basis.

VI. SIZING REQUIREMENTS FOR STORAGE WATER HEATERS
A. For food facilities that utilize multiservice eating and drinking utensils, the water heater shall have a recovery rate equal to or greater than 100% of the computed hourly hot water demand, in gallons per hour (GPH).

B. For food facilities that use only single-service eating and drinking utensils, or don't use utensils at all, the water heater shall have a recovery rate equal to or greater than 80% of the computed hourly hot water demand, in GPH.

C. For food facilities that handle and sell only prepackaged foods, a water heater with a minimum storage capacity of ten gallons must be provided.

D. The hourly hot water demand for the food facility, in GPH, is calculated by adding together the estimated hot water demands for all sinks and other equipment, such as dishmachines, which utilize hot water. The estimated hot water demands for sinks and other equipment that utilize hot water are listed in Appendix I. The hot water demands for automatic warewashers, such as dishmachines, glasswashers, and potwashers are found in NSF International listings or listings established by other nationally recognized testing laboratories.

E. The following examples are provided to explain how to calculate the total hourly hot water demand:

1. Food facility that utilizes only single service eating and drinking utensils:
   Assume:
   1 18" X 18" three compartment sink 42 GPH
   2 hand lavatories 10 GPH (5 GPH each)
   1 janitorial sink 15 GPH
   67 GPH total hourly hot water demand

   67 GPH X 80% allowance for single service utensils = 54 GPH
   For the food facility in this example, a water heater would be required which will recover 54 GPH.

2. Food facility that utilizes multiservice eating and drinking utensils:
   Assume:
   1 18" X 18" three compartment sink 42 GPH
   automatic dishmachine 80 GPH
   hand spray pre-rinse 45 GPH
   one compartment food preparation sink 5 GPH
2 hand lavatories
1 janitorial sink

10 GPH (5 GPH each)
15 GPH
197 GPH total hourly hot water demand

Since the food facility in this example uses multiservice eating and drinking utensils, 100% of the computed hourly hot water demand must be provided. Therefore, a water heater would be required which will recover 197 GPH.

F. To compute a BTU or KW rating for the required hourly hot water demand found in example #1 the following formulas should be used:

**Formula 1 (for gas water heaters)**

\[
\text{BTU input} = \frac{\text{GPH} \times \text{\textit{Rise}}}{\text{\textit{Rise}}} \times 8.33 \text{ lb./gallon of water} \times \text{Thermal Efficiency}^2
\]

\[
\text{BTU input} = \frac{54 \text{ GPH} \times 50^\circ\text{F}}{.75} \times 8.33 \text{ lb.}
\]

\[
\text{BTU input} = 29,988
\]

1 The average temperature of tap water varies throughout the state depending upon the location, elevation, and time of year. In order to properly size the water heater check with your local health agency to determine the required rise. For the purposes of these guidelines a tap water temperature of 70°F Fahrenheit will be used. Therefore, to achieve a temperature of 120°F Fahrenheit at the faucet, the required rise would be 50°.

2 The thermal efficiency for gas water heaters, unless otherwise listed by NSF International or other nationally recognized testing laboratories, will be assumed to be 75%.

**Formula 2 (for electric water heaters)**

\[
\text{KW input} = \frac{\text{GPH} \times \text{\textit{Rise}}}{\text{\textit{Rise}}} \times 8.33 \text{ lb./gallon of water} \times \text{Thermal Efficiency}^1 \times 3412 \text{ BTU/KW}
\]

\[
\text{KW input} = \frac{54 \text{ GPH} \times 50^\circ\text{F}}{.98} \times 8.33 \text{ lb.} \times 3412 \text{ BTU/KW}
\]

\[
\text{KW input} = 6.7
\]

1 The thermal efficiency for electric water heaters, unless otherwise listed by NSF International or other nationally recognized testing laboratories, will be assumed to be 98%

Sizing tables for gas and electric water heaters are found in Appendices II and III respectively.

VII. SIZING REQUIREMENTS FOR INSTANTANEOUS WATER HEATERS

A. One of the advantages of an instantaneous water heater is its ability to provide a continuous supply of hot water. However, since the water passes through a heat exchanger, the water must flow through the unit slowly to assure proper heat transfer. Therefore, the quantity, or rate, at which the hot water is delivered can be significantly less than that provided by a storage water heater. When hot water is utilized at several locations of the food facility at the same time the flow of hot water to each fixture can be severely restricted. As a result of the restricted output of instantaneous water heaters, more than one unit may be required, depending on the numbers and types of sinks and equipment present. Due to the limitations inherent in the design of instantaneous water heaters, some local health agencies may restrict or prohibit their usage. Check with your local health agency prior to installing an instantaneous water heater in order to
determine their requirements.

B. Instantaneous water heaters must be sized to provide hot water of at least 120° Fahrenheit, and at a rate of at least two gallons per minute (GPM), to each sink and fixture that utilizes hot water. (Note: Hand lavatories must receive at least 1/2 GPM.) The following example is provided to explain how this sizing criteria is applied:

Assume:

1. 18" X 18" three compartment sink  2 GPM
2. hand lavatories  1 GPM (1/2 GPM each)
1. janitorial sink  2 GPM
5 GPM

C. In the example given above, one or more instantaneous water heaters would have to be provided in order to supply a total of at least 5 GPM.

D. Food facilities that install an automatic warewashing machine that utilizes a large quantity of hot water may be required to provide an instantaneous water heater exclusively for the warewashing machine. NSF International listings or listings established by other nationally recognized testing laboratories are used to determine the minimum GPM hot water demand for automatic warewashing machines.

VIII. REQUIREMENTS FOR BOOSTER HEATERS

A. When a hot water sanitizing warewashing machine is used, a booster heater must be provided that will raise the incoming general purpose hot water up to at least 180° Fahrenheit for the final sanitizing rinse cycle.

B. When sizing a booster heater, the hot water demand for the warewashing final sanitizing rinse cycle should be obtained from the NSF International listings or listings established by other nationally recognized testing laboratories.

C. The formulas for calculating BTU or KW input listed in section VI.F. should be used when determining the minimum required size for a booster heater.

D. When a booster heater is installed below a drainboard, it shall be installed at least six inches above the floor and away from the wall, and in a manner that will allow accessibility for proper cleaning and servicing.

IX. RECIRCULATION PUMPS

A. Where fixtures are located more than sixty feet from the water heater, a recirculation pump must be installed, in order to ensure that water reaches the fixture at a temperature of at least 120° Fahrenheit.

B. In some cases it may be more practical to install a separate, smaller water heater for remote fixtures, such as for restroom handsinks.

X. INSTALLATION REQUIREMENTS

A. Where feasible, water heaters should be located in an area of the food facility separated from all food and utensil handling areas.

B. The Uniform Building Code prohibits the installation of gas water heaters in restrooms or change rooms.
C. Water heaters shall be mounted in one of the following manners:
   1. On six inch high, easily cleanable legs.
   2. On a four inch high coved curb base. All openings between the water heater and the base must be sealed in a watertight manner.
   3. On a properly finished and installed wall pedestal, positioned so that it is out of the work and traffic space.
   4. In an easily accessible location above a suspended ceiling. Where a permanently installed ladder is required to access the water heater, the ladder shall not be installed above a food or utensil handling area.

Note: The local health agency may allow alternate installation methods when a water heater is installed in an area separated from food and utensil handling areas, such as in a mechanical room.

D. A common mistake with electric water heaters is the ordering and installing of a water heater with an upper element of 4500 watts, a bottom element of 4500 watts, and a total connected (or maximum) wattage of 4500 watts. On such a water heater only one element is operating at any one time. Many individuals do not observe the total connected wattage and assume that because each of the elements is 4500 watts their water heater has an input rating of 9000 watts. Water heater manufacturers have specific procedures for rewiring an electric water heater so that the upper and lower elements are operating simultaneously. Some manufacturers only permit rewiring in the factory. Field modifications will normally void warranties and any listings that the unit comes with. Prior to acceptance of a field modified water heater, the local health agency should ensure that the modifications were performed according to the manufacturer's recommendations and with the approval of the local building officials. The data plate on a field modified water heater must be changed to reflect the total connected wattage rating with both elements operating simultaneously.

E. When multiple water heaters are connected, they must be installed in parallel, not in series (See Appendix IV).
APPENDIX I

HOURLY HOT WATER DEMAND TABLE

**Utensil Sinks**
- 18" x 18": 14 gallons per compartment
- 24" x 24": 25 gallons per compartment

Custom sink sizes can be calculated using the following formula:

\[
\text{Length} \times \text{Width} \times \text{Average Depth} \times 7.5 = \text{gallons per compartment}
\]

**Bar Sinks**
- 6 gallons per compartment

**Food Preparation Sinks**
- 5 gallons per sink

**Janitorial Sinks**
- 15 gallons per sink

**Garbage Can Wash Facility**
- 15 gallons per facility

**Hand Sinks**
- 5 gallons per sink

**Pre-rinse Units**
- Hand spray type: 45 gallons
- Other types: Refer to manufacturer's specifications for the equipment

**Clothes Washers**
- 9 and 12 pound washers: 45 gallons
- 16 pound washers: 60 gallons
**Employee Shower**

20 gallons per shower

**Other Fixtures That Utilize Hot Water**

Refer to manufacturer's specifications for the equipment
Water Heaters Installed In Parallel
WATER HEATER WORKSHEET AND SAMPLE CALCULATIONS
Cited below, and on the next few pages, is information, and sample calculations from the North Carolina Department of Health, Food, Lodging, and Institutional Sanitation Branch.

DETERMINING HOT WATER SUPPLY REQUIREMENTS

The Food Service Advisory Committee has developed a uniform guideline for the sizing of hot water heaters for food service establishments. This guideline is used to insure uniformity on sizing of water heaters throughout the state and to insure food service establishments are provided with sufficient hot water for all operations.

The hot water heater should be sized as follows:

1. The minimum storage capacity for any establishment should be 50 gallons.

2. Hot water recovery is based on fixture requirements in accordance with the table on the next page.

3. A 100% degree-rise in temperature is used in calculating hot water recovery.
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<tr>
<th>EQUIPMENT</th>
<th>QUANTITY</th>
<th>TIMES</th>
<th>SIZE</th>
<th>EQUALS</th>
<th>GPH</th>
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Total 140°F GPH (gallons per hour) Recovery Requirements
Total =>

Note - 140°F Hot water heaters are to be sized at the 140°F GPH recovery required at a temperature rise of 100°F.

<table>
<thead>
<tr>
<th>Note #1</th>
<th>Dishwasher (____ gals/hr. FINAL RINSE x 70%)</th>
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<tr>
<td>Note #2</td>
<td>Cloth Washer Calculation</td>
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<tr>
<td>A.</td>
<td>Limited Use/Cloth washer used one to two times per day; beginning or ending of day operation GPH = 60 GPH x 25%.</td>
</tr>
<tr>
<td>B.</td>
<td>Intermediate Use/Cloth washer used three to four times per day; GPH = 60 GPH x 45%.</td>
</tr>
<tr>
<td>C.</td>
<td>Heavy Use/Cloth washer used once every two hours; GPH = 60 GPH x 80%.</td>
</tr>
<tr>
<td>D.</td>
<td>Continuous Use/Cloth washer used every hour; GPH = 60 GPH x 100%.</td>
</tr>
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</table>

<table>
<thead>
<tr>
<th>Note #3</th>
<th>Hose reels @ 20 GPH for first reel &amp; 10 GPH for each additional reel.</th>
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</thead>
</table>

<table>
<thead>
<tr>
<th>Note #4 GPH Requirements for sink</th>
<th>GPH = (Sink size in cu.in. x 7.5 gal./cu.ft. x # compartments x .75 capacity) / (1,728 cu.in./cu.ft.)</th>
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<tbody>
<tr>
<td>Short version for above</td>
<td>GPH = Sink size in cu. in. X # compartments x 0.003255/cu. in.</td>
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<tr>
<td>Example</td>
<td>24&quot;x 24&quot;x 14&quot; x 3 compartments x 0.003255 = 79</td>
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</table>

Water heater storage capacity. (______ Gallons Storage)

Water heater recovery rate in gallons per hour at a 100°F temperature Rise. (______ Gallons per hour)
SAMPLE CALCULATION

Three comp. sink ------ 1 x 24x24x14 = 79
Two comp. Prep sink ---- 2 x 10 GPH = 20
Hand sink ----------- 5 x 5 GPH = 25
Pre-rinse ----------- 1 x 45 GPH = 45
Dishmachine -------- 1 x Note #1 = 52
Can wash ---------- 1 x 10 GPH = 10
Mop sink --------- 1 x 5 GPH = 5
Cloth Washer ------- 1 x Note #2 = 27
Hose reel ---------- 2 x Note #3 = 30

Total GPH Requirement = 293 GPH

Note #1 - Dishmachine - Hobart AM-14 Final Rinse GPH = 74
Using Note #1 - 74 gal/hr Final Rinse x .70% = 51.8(= 52 GPH)

Note #2 - Cloth Washer used 4 times per day = 60 gal x 45% = 27 GPH
## Recovery Rates in Gallons per Hour - Gas Water Heaters

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<th>INPUT BTU</th>
<th>40°</th>
<th>50°</th>
<th>60°</th>
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Based on 75% thermal efficiency.
Recovery Rates in Gallons per Hour - Electric Water Heaters

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## SAMPLE CALCULATION

<table>
<thead>
<tr>
<th>Equipment</th>
<th>Quantity</th>
<th>GPH</th>
<th>Calculation</th>
<th>Result</th>
</tr>
</thead>
<tbody>
<tr>
<td>Three comp. sink</td>
<td>1</td>
<td>24x14x24</td>
<td>=</td>
<td>79</td>
</tr>
<tr>
<td>Two comp. Prep sink</td>
<td>2</td>
<td>10</td>
<td>=</td>
<td>20</td>
</tr>
<tr>
<td>Hand sink</td>
<td>5</td>
<td>5</td>
<td>=</td>
<td>25</td>
</tr>
<tr>
<td>Pre-rinse</td>
<td>1</td>
<td>45</td>
<td>=</td>
<td>45</td>
</tr>
<tr>
<td>Dishmachine</td>
<td>1</td>
<td>Note #1</td>
<td>=</td>
<td>52</td>
</tr>
<tr>
<td>Mop sink</td>
<td>1</td>
<td>10</td>
<td>=</td>
<td>10</td>
</tr>
<tr>
<td>Cloth Washer</td>
<td>1</td>
<td>15</td>
<td>=</td>
<td>15</td>
</tr>
<tr>
<td>Hose reel</td>
<td>2</td>
<td>10</td>
<td>=</td>
<td>20</td>
</tr>
</tbody>
</table>

**Total = 266 GPH**

**Note #1** - Dishmachine - Hobart AM-14 Final Rinse GPH = 74
Using Note #1 - 74 gal/hr Final Rinse x .70% = 51.8 (= 52 GPH)

## OTHER CONSIDERATIONS

Tankless water heaters shall be installed and used in accordance with the manufacturer’s recommendations.

Hot water recirculation systems should be considered when the water heater is over 100 feet from the farthest fixture served.

The use of smaller separate water heaters may be used for remote fixtures.
PLUMBING AND CROSS CONNECTION CONTROL

Plumbing shall be sized and installed according to applicable codes. There shall be no cross connections between the potable water supply and any non-potable system or a system of unknown quality. Where non-potable water systems are permitted for purposes such as air conditioning and fire protection, the non-potable water must not contact directly or indirectly: food, potable water or equipment that contacts food or utensils. The piping of any non-potable water system shall be durably identified so that it is readily distinguishable from piping that carries potable water.

DEFINITIONS

Cross connection is defined as any direct or indirect (potential) connection between a potable water system and a non-potable source, liquid or otherwise, through which backflow can occur.

Backflow is defined as the flow of water or other liquids, mixtures, or substances into a potable water system from any source, other than the intended source. There are two types of backflow: backpressure and back-siphonage.

Backpressure is defined as pressure in down stream piping greater than supply pressure causing a reversal of flow.

Back-siphonage is defined as the creation of backflow as a result of negative pressure.

Direct connection is defined as a physical connection between a potable and non-potable system.

Indirect connection is defined as a potential connection between a potable and non-potable system.

An indirect connection may be one of two types, air gap or air break:

For a potable water supply, an air gap means the unobstructed, vertical air space that separates a potable system from a non-potable system. See figure 5-1.

For a drain, an air gap means the distance through the free atmosphere between the lowest opening from any drain pipe. See figure 5-4.

An air break is a waste line from a fixture that discharges used water or liquid waste to a drain. The connection does not provide an unobstructed vertical distance through the free atmosphere and is not solidly connected, but precludes the possibility of backflow to a potable water source into a sink or dishwasher/or fixture being drained. See figure #5-4.
There shall be no cross connections between the potable water supply and any non-potable water supply. The potable water system shall be installed to preclude the possibility of back flow and back siphonage. Devices shall be installed to protect against backflow and backsiphonage at all fixtures and equipment unless an air gap is provided.

**Types of Control: Backflow Control & Device Use**

As stated in the Food Code, a backflow or backsiphonage prevention device installed on a water supply system shall meet American Society of Sanitary Engineering (A.S.S.E.) standards for construction, installation, maintenance, inspection, and testing for that specific application and type of device.

<table>
<thead>
<tr>
<th>Type of Control</th>
<th>Rules of Installation</th>
</tr>
</thead>
<tbody>
<tr>
<td>Air Gap</td>
<td>The air gap must be the greater of the two - <strong>A MINIMUM OF ONE INCH OR TWICE THE INSIDE DIAMETER OF THE PIPE.</strong> For a supply line, this distance is measured from the supply pipe to the flood level rim (the point of over flow) of the receptacle or fixture. For a drain line, the distance is measured from the pipe from which the wastewater is being discharged.</td>
</tr>
<tr>
<td>Atmospheric Vacuum Breaker</td>
<td>1. Must be installed 6 inches higher than the outlet.</td>
</tr>
<tr>
<td></td>
<td>2. Must be installed in the vertical position only</td>
</tr>
<tr>
<td></td>
<td>3. Is not for continuous water pressure</td>
</tr>
<tr>
<td></td>
<td>4. Must only be installed where it is not subject to backpressure</td>
</tr>
<tr>
<td></td>
<td>5. Must be installed after the last shut off valve</td>
</tr>
<tr>
<td>Dual Check Valve with an Intermediate Atmospheric Vent</td>
<td>1. Can be installed horizontally or vertically.</td>
</tr>
<tr>
<td></td>
<td>2. Must not be located in a pit or a location subject to standing water.</td>
</tr>
<tr>
<td></td>
<td>3. Relief port or vent must not be plugged.</td>
</tr>
<tr>
<td></td>
<td>4. Approved for low hazard, continuous pressure and backpressure or backsiphonage.</td>
</tr>
<tr>
<td>Dual Check Valve with an Intermediate Atmospheric Vent and 100 mesh screen</td>
<td>Must be used for water line to soda carbonation systems.</td>
</tr>
<tr>
<td>Pressure Type Vacuum Breaker</td>
<td>1. Must be installed at least 12 inches above the outlet.</td>
</tr>
<tr>
<td></td>
<td>2. Must have a shut off valve on each side and two test cocks for testing.</td>
</tr>
<tr>
<td></td>
<td>3. Must be located in an accessible area for testing and servicing.</td>
</tr>
<tr>
<td>Reduced Pressure Zone Device (RPZ)</td>
<td>4. Not acceptable in a backpressure application</td>
</tr>
<tr>
<td>-----------------------------------</td>
<td>-----------------------------------------------</td>
</tr>
<tr>
<td></td>
<td>5. Can be used for continuous pressure applications</td>
</tr>
<tr>
<td></td>
<td>1. Must be accessible for testing and service.</td>
</tr>
<tr>
<td></td>
<td>2. Must be located above grade (not subject to flooding).</td>
</tr>
<tr>
<td></td>
<td>3. Must be installed at least 12 inches from any wall and between 12 to 30 inches above the floor.</td>
</tr>
<tr>
<td>Hose Bibb Vacuum Breaker</td>
<td>4. Approved for high hazard, continuous pressure, backpressure or back-siphonage.</td>
</tr>
<tr>
<td></td>
<td>Cannot be used for continuous pressure. Cannot have a shut off valve downstream of the device.</td>
</tr>
</tbody>
</table>

The following provides examples of equipment and their required backflow protection devices. See figure 5-2.

### Equipment

| 1. Boiler with chemicals added | Reduced pressure zone device |
| 2. Boiler with no chemicals added | Dual check valve with intermediate atmospheric vent |
| 3. Carbonators for beverage dispensers | Dual Check Valve with intermediate atmospheric vent and 100 mesh screen |
| 4. Lawn sprinkler system with no chemicals added | Atmospheric or pressure vacuum breaker |
| 5. Flush valve toilets | Atmospheric or pressure vacuum breaker |

### Equipment

| 6. All hose bibbs inside & outside of establishments | Required in Lieu of Air Gap |
| 7. Pre-flush hose with a nozzle | Hose bibb-type vacuum breaker |

Pressure vacuum breaker
head that may be submerged

8. Perforated pipe to woks
   Atmospheric vacuum breaker

9. Submerged inlets
   a. Supply inlet to garbage grinder
   b. Supply inlet to dish table trough
   c. Fill line for steam kettle
   d. Supply line for mechanical warewashing machine
   e. Garbage can washer
   f. Water wash system for exhaust hood
   g. Non-carbonated water line for soda guns
   Atmospheric vacuum breaker
   Reduced pressure zone backflow preventer
   Dual check valve with intermediate atmospheric vent

**Sewage Disposal**

All sewage including liquid waste shall be disposed into a public sewage system or an individual sewage disposal system constructed and operated according to law. Where individual sewage disposal systems are utilized, the location shall be noted on the plans and certification of compliance with state and local regulations shall be provided.

**Drains**

A connection to a sewer line may be direct or indirect. A direct connection may not exist between the sewerage system and any drains originating from equipment in which food, portable equipment, or utensils are placed, except if otherwise required by law. When a warewashing machine is located within 5 feet of a trapped floor drain, the dishwasher waste outlet may be connected directly on the inlet side of a properly vented floor drain trap. See figure #5-3.

**Grease Traps/Interceptors**

A grease trap/interceptor is a chamber designed for wastewater to pass through and allow any grease to float to the top for retention as the remainder of the wastewater passes through. If used, a grease trap shall be located to be easily accessible for cleaning. Food solids entering the grease trap/interceptor should be minimized.

It is recommended that waste water from fixtures or drains which would allow fats, oils, and grease to be discharged be directed to a grease trap/interceptor.
$D = \text{Inside Diameter}$

Air Gap (2xD)

Flood Level of Fixture

Air Gap

Figure 5-1
Backflow Prevention Devices

Figure 5-2
Warewashing Machine With A Direct Waste Connection

Figure 5-3
Indirect Waste

*Air Gap*

*Air Break*

Figure 5-4
SECTION 6- EQUIPMENT AND INSTALLATION

All equipment in food establishments must comply with the design and construction standards contained in Chapter 4 of the FDA Food Code. Food equipment that is certified or classified for sanitation by an ANSI accredited program is deemed to comply with Parts 4-1 and 4-2 of the FDA Food Code.

Equipment including ice makers and ice storage equipment, shall not be located under exposed or unprotected sewer lines, open stairwells or other sources of contamination.

The following equipment installation recommendations will help ensure proper spacing and sealing allowing for adequate and easy cleaning:

FLOOR MOUNTED EQUIPMENT

Equipment should be mounted on approved lockable casters or wheels to facilitate easy moving, cleaning, and flexibility of operation whenever possible. Moveable equipment requiring utility services such as gas or electrical connections should be provided with easily accessible quick-disconnects or the utility service lines should be flexible and of sufficient length to permit moving the equipment for cleaning. If a flexible utility line is used, a safety chain that is shorter than the utility line must be installed. Check with local fire safety and building codes to ensure that such installations are acceptable. See figures 6-1 and 6-3.

Floor-mounted equipment that is not mounted on wheels or casters with the above utility connections should be:

1. Permanently sealed to the floor around the entire perimeter of the equipment. The sealing compound should be pliable and non-shrinking. It should retain its elasticity and provide a water- and vermin-tight joint; or

2. Installed on a solid, smooth, non-absorbent masonry base. Masonry bases and curbs should have a minimum height of 2" and be coved at the junction of the platform and the floor with at least a 1/4" radius. The equipment should overhang the base by at least 1" but not more than 4". Spaces between the masonry base and the equipment must be sealed as above; or

3. Elevated on legs to provide at least a 6" clearance between the floor and equipment. The legs shall contain no hollow open ends. See figure 6-2.
4. For equipment not readily moveable by one person, spacing between and behind equipment must be sufficient to permit cleaning under and around the unit. Equipment shall be spaced to allow access for cleaning along the sides, behind and above. At least 6" of clear, unobstructed space under each piece of equipment must be provided or equipment must be sealed to the floor. See figure 6-4.

5. If equipment is against a wall and is not movable, the equipment must be joined to and/or sealed to the wall in a manner to prevent liquid waste, dust and debris from collecting between the wall and the equipment.

6. When equipment is joined together, or spreader plates are used between equipment, the resultant joint must be sealed to prevent liquid waste, dust and debris from collecting between the equipment.

Unobstructed and functional aisle and working spaces must be provided. A minimum width of 36" is required by fire and building codes.

All utility and service lines and openings through the floor and walls must be adequately sealed. Penetrations through walls and floors must be minimized. Exposed vertical and horizontal pipes and lines must be kept to a minimum. The installation of exposed horizontal utility lines and pipes on the floor is prohibited. Any insulation materials used on utility pipes or lines in the food preparation or dishwashing areas must be smooth, non-absorbent, and easy to clean. Electrical units which are installed in areas subject to splash from necessary cleaning operations or food preparation should be water-tight and washable.

COUNTER-MOUNTED EQUIPMENT

Counter-mounted equipment is defined as equipment that is not portable and is designed to be mounted off the floor on a table, counter, or shelf. All counter-mounted equipment shall be:

A. Sealed to the table or counter; or

B. Elevated on approved legs to provide at least a 4" clearance between the table or counter and the equipment to facilitate cleaning.

OTHER

Equipment that is open underneath, such as drain boards, dish tables, and other tables that are not moveable should be spaced to allow for ease of cleaning or should be sealed to the wall.

Non-food contact surfaces of equipment that are exposed to splash, spillage, or other food soiling or that require frequent cleaning shall be constructed of corrosion-resistant, non-absorbent, and smooth material.
Legs of all equipment should not have hollow, open ends.

If running water dipper wells are installed, methods for filling and draining the units must be identified.
Kitchen Equipment Mounted On Castors

Figure 6-1

Sanitary Leg

Figure 6-2
Flexible Gas Connection
With Safety Chain
Recommended Equipment Spacing
Provided Access is Available From Both Ends:

<table>
<thead>
<tr>
<th>Equipment Length (A)</th>
<th>Space From Walls and Adjacent Equipment (B)</th>
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<tbody>
<tr>
<td>4' or less</td>
<td>6&quot;</td>
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<tr>
<td>4' - 8'</td>
<td>12&quot;</td>
</tr>
<tr>
<td>8' or more</td>
<td>18&quot;</td>
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</table>

Equipment Spacing
PART 7 - DRY STORAGE

The dry storage space needed depends on the menu, number of meals served between deliveries, frequency of deliveries, and the amount and type of single-service items to be stored. The location of dry storage should be adjacent to the food preparation area and convenient to receiving. Adequate ventilation should be provided. Food should not be stored under exposed sewer lines. Similarly, a cabinet that is used for the storage of food, shall not be located under exposed or unprotected sewer lines, open stairwells or other sources of contamination.

Shelving, dollies, racks, pallets and skids shall be corrosion-resistant, non-absorbent and smooth. The highest shelf for practical use should be 7 feet. The lowest one should be at least 6 inches from the floor. Clearance between shelves should be at least 15 inches. Sufficient moveable racks, skids and dollies should be provided to store all bulk containers. Shelving, dollies, racks, pallets and skids should be spaced away from walls to allow for cleaning and pest monitoring/inspection.

Approved food containers with tight-fitting covers and dollies should be used for storing bulk foods such as flour, cornmeal, sugar, dried beans, rice and similar food.

DRY STORAGE CALCULATION EXAMPLES:

These formulas can be used to estimate dry storage space:

Formula # 1 - Linear feet of storage shelving = \[
\frac{\text{Volume per meal} \times \text{number of meals between deliveries}}{D \times H \times C}
\]

Volume per meal = 0.1 cubic feet
D = Depth of the shelves in feet
H = Distance between shelves in feet
C = 0.8 or 80% effective capacity of shelf height

For example, assume 400 meals per day and a 10 day storage between deliveries = 4000 meals between deliveries, shelf depth of 18 inches (1.5 ft.), clearance of 18 inches (1.5 ft.) between shelves and 80% effective capacity of shelf height:

Linear feet of storage shelving = \[
\frac{0.1 \text{ cu. ft} \times 4000 \text{ meals}}{1.5 \text{ ft.} \times 1.5 \text{ ft.} \times 0.8} = 222 \text{ Linear feet}
\]

Formula # 2 - Square feet of storage area =
## ESTIMATED LINEAR FEET OF STORAGE SHELVING NEEDED

**Formula #1**

Based on 0.1 cu. ft. per meal

<table>
<thead>
<tr>
<th>Meals Served Between Deliveries</th>
<th>1 ft. deep x 1 ft. high shelves (D x H = 1)</th>
<th>1.5 ft. deep x 1.5 ft. high shelves (D x H = 2.25)</th>
<th>2 ft. deep x 1.5 ft. high shelves (D x H = 3)</th>
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</table>

## ESTIMATED SQUARE FEET OF STORAGE AREA NEEDED

**Formula #2**

Based on 0.1 cu. ft. per meal

<table>
<thead>
<tr>
<th>Meals Served Between Deliveries</th>
<th>Height = 5 ft. Floor Area = .5</th>
<th>Height = 6 ft. Floor Area = .5</th>
<th>Height = 6 ft. Floor Area = .6</th>
</tr>
</thead>
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<td>200</td>
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<tr>
<td>5000</td>
<td>200</td>
<td>167</td>
<td>139</td>
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</table>
Volume per meal × number of meals between deliveries
Average height (ft.) × fraction of usable storeroom floor area

Volume per meal = 0.1 cu. ft
Usable storage height = 5 to 7 feet (total height of the ceiling minus the distance of shelving from the floor and ceiling)
Fraction of usable storeroom floor area = .4 to .6 (total floor area minus door openings, aisle space, distance of shelving from walls)

For example, assume 400 meals per day and a 10 day storage between deliveries = 4000 meals between deliveries, 5 feet useful storage height, and .5 of usable floor area.

Storage Area = \(0.1 \text{ cu. ft.} \times 4000 \text{ meals} \div 5 \text{ ft.} \times .5\) = 160 square feet
SECTION 8 - WAREWASHING FACILITIES

The minimum requirement for warewashing in a food establishment is a 3-compartment sink. A mechanical warewashing machine may be installed in addition to the 3-compartment sink.

MANUAL WAREWASHING

For manual warewashing, a stainless steel sink with no fewer than 3 compartments must be provided. The sink compartments shall be large enough to completely immerse the largest pot, pan or piece of equipment to be used in the establishment that will not be cleaned in place. Each compartment shall be supplied with adequate hot and cold potable running water. The temperature of the wash solution in manual warewashing equipment shall be maintained at not less than 110°F or the temperature specified on the cleaning agent manufacturer's label instructions. Drainboards, utensil racks or tables large enough to accommodate clean and soiled utensils shall be provided. The drainboards shall be self draining. A two-compartment sink may be allowed by the Regulatory Authority under certain conditions.

Adequate facilities for pre-flushing or pre-scrapping equipment and utensils must be provided.

If hot water is used to sanitize equipment and utensils, the means for heating the water to 171°F in the 3rd compartment must be identified. The racks for the immersion of equipment and utensil must be specified.

MECHANICAL WAREWASHING (see Figure #8)

Warewashing machines shall be installed in accordance with the manufacturer's recommendations and applicable code requirements.

Adequate facilities shall be provided to air dry washed equipment and utensils. Drainboards, utensil racks or tables must be large enough to allow proper and sufficient air drying of equipment and utensils. Storage facilities shall be provided to store cleaned and sanitized utensils and equipment at least 6" above the floor; protected from splash, dust, overhead plumbing or other contamination. The plan must specify the location and facilities used for storing all utensils and equipment.

If used, the hot water booster for warewashing machines must be identified during plan review.

If the detergent dispenser or drying agent dispenser is not equipped with an integral backflow prevention device, the injection point of the chemicals shall be below the vacuum breaker on the warewashing machine.
Point of installation for detergent dispenser and drying agent dispenser (6" below vacuum breaker)

180°F (82°C) final rinse water

Top of dishwashing machine.

Figure 8
DETERMINING WAREWASH MACHINE CAPACITY

The capacity of the dishwashing machines should be based on the peak number and type of dishes, utensils, flatware, etc. that must be washed per hour. One way to find the capacity in racks per hour for each make and model of machine is to refer to the manufacturer's specification sheets. To determine the required capacity refer to the following guide:

Each 20" x 20" dishrack will accommodate:

- 16 - 9" dinner plates
- 25 - water glasses
- 16 - coffee cups
- 100 - pieces of flatware

Only 70% of the listed capacity (in racks per hour) should be considered as an average capacity. Consult the manufacturers' specification sheets ("cut sheets") for optimum capacity.

A suggested formula to determine the number of dishracks required per hour for a restaurant serving 200 meals at lunch is as follows:

\[
\begin{align*}
200 \text{ plates} & = 200 \text{ plates} = 13 \text{ racks} \\
& \quad 16 \text{ plates/rack} \\
200 \text{ water glasses} & = 200 \text{ glasses} = 8 \text{ racks} \\
& \quad 25 \text{ glasses/rack} \\
200 \text{ coffee cups} & = 200 \text{ coffee cups} = 13 \text{ racks} \\
& \quad 16 \text{ cups/rack} \\
200 \text{ pieces of flatware} & = 200 \text{ pieces} = 2 \text{ racks} \\
& \quad 100 \text{ pieces/rack}
\end{align*}
\]

Required total working capacity = 36 racks/hour

Since this figure is 70% of the listed capacity, a mechanical dishwasher with a minimum listed capacity of:

\[
\frac{36}{.70} = 51 \text{ racks/hour would be recommended}
\]

An adequate facility for preflushing or prescrapping shall be provided on the soiled dish side of the dishwashing machine.

Drainboards shall be provided, be of adequate size for the proper handling of utensils, and located so as not to interfere with the proper use of the warewashing facilities. Mobile dish tables may be acceptable for use in lieu of drainboards.
CHEMICAL WAREWASHING

Chemical warewashing machines shall meet nationally recognized standards and be certified or classified by an ANSI accredited certification program. The installation must conform to applicable code requirements. Among the specific requirements for the installation of an approved chemical warewashing machine are the following:

1. The chemical sanitizing feeder must meet nationally recognized standards and be certified or classified by an ANSI accredited certification program and be compatible with the specific make and model of machine in question.

2. An approved chemical test kit for determining sanitizer strength shall be available and used.

3. A visual flow indicator must be provided to monitor the operation of the sanitizing agent feeder. Other indication devices such as audible alarms may also be used. The flow indication devices must be installed so as to be conspicuous to the operator.

Adequate facilities shall be provided to air dry washed utensils and equipment. Storage facilities shall be provided to store cleaned and sanitized utensils and equipment at least 12” above the floor, protected from splash, dust, overhead plumbing or other contamination; on fixed shelves; or in enclosed cabinets. The plan must specify location and facilities used for storing all utensils and equipment.

WAREWASHING UTILIZING HOT WATER SANITIZATION

A commercial warewashing machine for mechanical warewashing utilizing hot water for sanitization shall be provided that is in compliance with the standards of an ANSI accredited certification program. The installation and required accessories shall be in conformance with local applicable plumbing codes.

An approved maximum registering thermometer or high temperature test papers shall be available and used.

If the detergent dispenser or drying agent dispenser is not equipped with an integral backflow prevention device, the installation point of the dispenser shall be below the vacuum breaker on the warewashing machine. See figure #8-3.

Recommended warewashing arrangement using three-compartment sink. Drainboards for soiled dishes and for cleaned utensils must be adequate. A 36" drainboard should have a pitch of 1/8" to 3/8" per foot toward the sink.

Three Compartment Sink
With Indirect Waste

Figure 8-1
Warewashing Machine With a Direct Waste Connection

Figure 8-2
SECTION 9 - FINISHES

The finishes of the floors, walls, and ceilings in food establishments shall be smooth, durable, easily cleanable and be non-absorbent in areas exposed to moisture. Floor wall junctures shall be coved.

The chart below lists the types of floor, wall, and ceiling finishes that are acceptable in food establishments in the areas listed.
<table>
<thead>
<tr>
<th>Room/Area</th>
<th>Floors</th>
<th>Walls</th>
<th>Ceilings</th>
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</thead>
<tbody>
<tr>
<td><strong>Cooking Areas</strong></td>
<td>Quarry tile</td>
<td>Stainless Steel</td>
<td>Stainless Steel</td>
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<tr>
<td><em>(Areas exposed to high heat)</em></td>
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<td>Ceramic Tile</td>
<td>Smooth, Non-Acoustical</td>
</tr>
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<td>Commercial Grade Vinyl Composition Tile (VCT)</td>
<td>Stainless Steel</td>
<td>Plastic Coated or Metal-Clad Fiberboard</td>
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<tr>
<td></td>
<td>Commercial Grade Sheet Linoleum with Chemically Welded Seams</td>
<td>Ceramic Tile</td>
<td>Dry-wall sealed with an Epoxy Finish</td>
</tr>
<tr>
<td></td>
<td></td>
<td>Aluminum</td>
<td>Plastic Laminate</td>
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<td></td>
<td></td>
<td>Glazed Surfaces</td>
</tr>
<tr>
<td><strong>Food Preparation</strong></td>
<td>Quarry tile</td>
<td>Stainless Steel</td>
<td>Smooth, Plastic Coated or Metal-Clad Fiberboard</td>
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<td>Dry-wall sealed with an Epoxy Finish</td>
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<tr>
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<td>Plastic Laminate</td>
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<tr>
<td></td>
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<td>Concrete Block Filled with Epoxy Paint or Glaze</td>
<td>Glazed Surfaces</td>
</tr>
<tr>
<td><strong>Walk-in Refrigerators and</strong></td>
<td>Insulated Metal Flooring Provided by the Manufacturer of the Walk-In</td>
<td>Insulated Wall Panels Provided by the Manufacturer of the Walk-In</td>
<td>Insulated Ceiling Panels Provided by the Manufacturer of the Walk-In</td>
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<td><strong>Freezers</strong></td>
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<td>Stainless Steel</td>
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<tr>
<td></td>
<td>Poured Epoxy</td>
<td>Aluminum</td>
<td>Aluminum</td>
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<tr>
<td></td>
<td></td>
<td>Fiberglass Reinforced Polyester Panels (FRP)</td>
<td>Fiberglass Reinforced</td>
</tr>
<tr>
<td><strong>Warewashing Areas</strong></td>
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<td>Smooth, Non-Acoustical</td>
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<td>Poured Epoxy</td>
<td>Ceramic Tile</td>
<td>Plastic Coated or Metal-Clad Fiberboard</td>
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<td>Area</td>
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<td>Food Storage</td>
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<tr>
<td>Bar (Food Worker Side of Bar)</td>
<td>Quarry tile, Poured Epoxy, Commercial Grade Vinyl Composition Tile (VCT), Commercial Grade Sheet Linoleum with Chemically Welded Seams</td>
<td>Stainless Steel, Ceramic Tile, Fiberglass Reinforced Polyester Panels (FRP), Plastic Laminate, Concrete Block Filled with Epoxy Paint or a Glazed Surface</td>
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<td>Plastic Laminate Glazed Surfaces</td>
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Additional Notes: Finishes must be installed in accordance with the manufacturer's recommendations. If a new finish or texture is proposed, a sample of the material may be requested by the Regulatory Authority prior to installation.
Coving At Base Junctures

Figure 10-1
SECTION 10 – PEST CONTROL

Preventive measures during design and construction of a food establishment are essential to eliminating and controlling pest problems.

Food establishments should be designed and constructed to restrict the entrance of pests.

Openings to the outside shall be effectively protected against the entrance of pests. Openings to the outside shall be protected by the installation of tight fitting, self-closing doors; closed windows; self-closing windows at drive-throughs; screening; controlled air currents; vestibules; or other means approved by the Regulatory Authority. Screen doors shall be self-closing and screens for windows, doors, skylights, transoms, intake air ducts, exhaust vents and other openings to the outside shall be tight fitting and free of breaks. Screening material shall not be less than sixteen mesh to the inch. Openings around pipes, conduit or wiring must be sealed.

Loading docks and delivery doors must be provided with effective air curtains or vestibules with self-closing doors to preclude the entrance of insects.

Insect control devices are effective tools in controlling flying insects that have entered a food establishment. Electrocuting units shall be designed to retain the insect within the device. Insect control devices may not be located over a food preparation area and should be installed in accordance with the manufacturer’s recommendations.

The presence of pests shall be controlled throughout the premises. Openings between the floor and bottom of the doors to the outside shall be adequately flashed with rodent proof material/weather stripping to eliminate any opening.
SECTION 11 – LIGHTING

The light intensity shall be at least 108 lux (10 foot candles) at a distance of 75 cm (30 inches) above the floor, in walk-in refrigeration units and dry food storage areas and rooms during periods of cleaning.

The light intensity shall be at least 215 lux (20 foot candles) at a surface where food is provided for consumer self-service such as buffets and salad bars or where fresh product or packaged foods are sold or offered for consumption; inside equipment such as reach-in and under-counter refrigerators; at a distance of 75 cm (30 inches) above the floor in areas used for handwashing, warewashing, and utensil storage, and in toilet rooms.

The light intensity shall be at least 540 lux (50 foot candles) at a surface where a food employee is working with food or working with utensils or equipment such as knives, slicers, grinders, or saws where employees safety is a factor.

Shielding such as plastic shields, plastic sleeves with end caps, shatterproof bulbs and/or other approved devices shall be provided for all artificial lighting fixtures located in areas where there is exposed food; clean equipment, utensils, and linens; or unwrapped single-service and single-use articles.

Heat lamps shall be protected against breakage by a shield surrounding and extending beyond the bulb, leaving only the face of the bulb exposed.
SECTION 12 – VENTILATION

All rooms shall have sufficient ventilation to keep them free of excessive heat, steam, vapors, obnoxious odors, smoke and fumes. Ventilation systems shall be designed and installed according to law.

GENERAL PRINCIPLES OF EXHAUST

The purpose of an exhaust hood is to provide a method of collecting, as nearly as possible, all of the grease produced from the cooking process while furnishing a means of removing heat, smoke and odors from the cooking area. A sufficient volume of air movement (capture velocity) must be provided to effectively draw grease particles and cooking vapors directly from the cooking surface to the grease extractors. This air flow removes cooking odors and keeps grease particles from settling onto nearby surfaces.

An effective capture velocity shall be sufficient to overcome opposing air currents, capture the grease and cooking vapors, and transport them to the grease extractors. When grease vapors cool and condense, an extractor removes grease particles by directed air flow, contraction, and expansion (drop out).

For heat and steam producing equipment, the hood or ventilation system controls humidity, heat and unwanted condensation.

COOKING EQUIPMENT

Cooking ventilation hoods and devices shall be designed and installed to prevent grease or condensation from collecting on walls, ceilings, and fire suppression supply piping and from dripping into food or onto food contact surfaces.

Make up air intakes must be screened and filtered to prevent the entrance of dust, dirt, insects and other contaminating material. Where the introduction of makeup air will cause condensation, drafting or interfere with the exhaust or vapor capture efficiency of the hood, the makeup air must be tempered. Tempering of makeup air may be necessary in certain climates.

Exposed piping must be cleanable.

HOT WATER SANITIZING WAREWASHING MACHINES

Exhaust ventilation may be necessary over hot water sanitizing warewashing machines to minimize moisture and steam.

FIRE PROTECTION

Fire protection for equipment that produces grease-laden vapors is regulated by National Fire Protection Association Bulletin #96.

Other references are available for additional formulas and information. Such references include:

SECTION 13 – OTHER

Service Sink

At least one service sink or one curbed cleaning facility equipped with a floor drain shall be provided and conveniently located for the cleaning of mops or similar wet floor cleaning tools and for the disposal of mop water and similar liquid waste.

There shall be a place to store mops and similar cleaning items where they can be air dried after use.

Poisonous or Toxic Materials

Poisonous or toxic materials shall be stored so they cannot contaminate food, equipment, utensils, linens, and single-service and single-use articles.

These items can be separated by using spacing or partitioning. Poisonous or toxic materials cannot be located above food, equipment, utensils, linens, or single-service and single-use articles.

Clothes Washers and Dryers

If a mechanical clothes washer and dryer are proposed, they must be located away from exposed food, clean equipment, utensils, and linens; and unwrapped single-service and single-use articles.

Dressing Rooms and Lockers

Lockers must be provided to store employees’ belongings and clothing. If lockers are not used, another type of area can be designated and identified on the plan for the storage of employees’ belongings.

If employees will be changing their clothes or putting on uniforms in the establishment, a dressing room must be designated on the plans.

Storage and Disposal Facilities for Grease Waste, Refuse, Recyclables, and Returnables

Indoor Storage

If grease waste, refuse, recyclables, and returnables are stored inside the establishment, the floors, walls, and ceilings of the storage area must be smooth, durable, easily cleanable, and nonabsorbent. The area must be rodent-proof and insect-proof and must have sufficient capacity to hold the items being stored before removal. If the food establishment is located in a warm weather climate, air conditioned or refrigerated storage rooms should be considered as a method that can be used to minimize odors and decomposition of the waste materials.

Storage rooms must be separated from food, equipment, utensils, linens, and single-
service and single-use articles.

Outdoor Storage
The outdoor storage surface for the storage of grease waste, refuse, recyclables, and returnables shall be constructed of concrete, asphalt, or other nonabsorbent material. The surface shall be smooth and durable and sloped to drain. If an enclosure is used around the storage area, it must be constructed of durable and cleanable materials. The area must have sufficient capacity to hold the items being stored before removal.
Numbers and Capacities
6-301  Handwashing Facilities.
6-302  Toilets and Urinals.
6-303  Lighting.
6-304  Ventilation.
6-305  Dressing Areas and Lockers.
6-306  Service Sinks.

Location and Placement
6-401  Handwashing Facilities.
6-402  Toilet Rooms.
6-403  Employee Accommodations.
6-404  Distressed Merchandise.
6-405  Refuse, Recyclables, and Returnables.

C. WATER, PLUMBING, AND WASTE

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5-102  Quality.
5-103  Quantity and Availability.
5-104  Distribution, Delivery, and Retention.

Plumbing System
5-201  Materials.
5-203  Numbers and Capacities.
5-204  Location and Placement.
5-205  Operation and Maintenance

Mobile Water Tank and Mobile Food Establishment Water Tank
5-301  Materials.
5-302  Design and Construction.
5-303  Numbers and Capacities.
5-304  Operation and Maintenance

Sewage, Other Liquid Waste, and Rainwater
5-401  Mobile Holding Tank.
5-402  Retention, Drainage, and Delivery.
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Refuse, Recyclables, and Returnables
5-501  Facilities on the Premises.
5-502  Removal.
5-503  Facilities for Disposal and Recycling.
FOOD CODE REFERENCE SHEET

A. ADMINISTRATIVE CONSIDERATIONS

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  8-201.11 When Plans Are Required.
  8-201.12 Contents of the Plans and Specifications.
  8-201.13 When a HACCP Plan is Required.
  8-201.14 Contents of a HACCP Plan.
  8-203 Construction Inspection and Approval

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  6-101.11 Surface Characteristics. (Indoor Areas)
  6-102.11 Surface Characteristics. (Outdoor Areas)

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  6-201.12 Floors, Walls, and Ceilings, Utility Lines.
  6-201.13 Floor and Wall Junctures, Coved, and Enclosed or Sealed.
  6-201.14 Floor Carpeting, Restrictions and Installation.
  6-201.15 Floor Covering, Mats and Duckboards.
  6-201.16 Wall and Ceiling Coverings and Coatings.
  6-201.17 Walls and Ceilings, Attachments.
  6-201.18 Walls and Ceilings, Studs, Joists and Rafters.

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  6-202.11 Light Bulbs, Protective Shielding.
  6-202.13 Insect Control Devices, Design and Installation.
  6-202.14 Toilet Rooms, Enclosed.
  6-202.15 Outer Openings, Protected.
  6-202.16 Exterior Walls and Roofs, Protective Barrier.
  6-202.17 Outdoor Food Vending Areas, Overhead Protection.
  6-202.18 Outdoor Servicing Areas, Overhead Protection.
  6-202.19 Outdoor Walking and Driving Surfaces, Graded to Drain.
  6-202.110 Outdoor Refuse Areas, Curbed and Graded to Drain.
  6-202.111 Private Homes and Living or Sleeping Quarters, Use Prohibition.
  6-202.112 Living or Sleeping Quarters, Separation.
D. EQUIPMENT, UTENSILS, AND LINENS

Applicable Parts of Food Code Chapter 4

4-1 Materials for Construction and Repair.
4-2 Design and Construction.
4-3 Numbers and Capacities.
4-4 Location and Installation.
4-5 Maintenance and Operation
4-6 Cleaning of Equipment and Utensils
4-7 Sanitization of Equipment and Utensils
4-8 Laundering
4-9 Protection of Clean Items
OTHER REFERENCES

The following is a list of reference materials and resources that can be used when planning a food establishment or reviewing plans for a food establishment. These resources include additional formulas and explanations for the materials presented above.


- Barnes, James D., Manual of Recommended Practice for Ventilation in Food Service Establishments, National Environmental Health Association.


- Food and Drug Administration, 2005 Food Code, National Technical Information Service, Springfield, VA.


- NAFEM, National Association of Food Equipment Manufactures, Introduction to the Food Service Industry (Kitchen Design).


