

## 2.1 INTRODUCTION

Case maintenance is used to describe all activities which are required, between case approval and the first redetermination and between redeterminations, to ensure that only eligible clients receive benefits and that the benefit amount is correct.

The process requires that changes in the client's circumstances be reported to the Department. The primary source of such information is expected to be the client, but information from all sources is considered. The Worker is, then, required to take all necessary action to update the client's case record and data system case, if any. When any case maintenance activity results in case closure or a change in the benefit level, the Worker must notify the client. Some changes, such as an address change, require client notification when the benefit is not affected. See Chapter 6.

### A. GENERAL SOURCES OF INFORMATION

The need for case maintenance originates from many sources. The following general list of sources applies to all programs and coverage groups. More specific information about these sources and others is found in the Program-specific sections which follow.

- The client, including QR, if applicable
- Individual acting for the client. The client may ask someone to act on his behalf. When an individual, other than the client, reports information about the client, no action is taken based on such information, until it is confirmed by the client. The Worker must initiate contact with the client.

**EXCEPTION:** In the following circumstances, action is taken without client confirmation:

- The client is a child, and the information is reported by a parent, or by another individual, who applied for the child.
- The individual is the appointed Committee for the client.
- The information is provided by the client's spouse, who is living with him, or would be living with him, if he were not institutionalized.

- The client is unable to act for himself because of mental or physical illness, and there is no reason to doubt the motives or competency of the individual who supplies the information.
- For Food Stamps only, the client's Authorized Representative.

The case recording must state the source of information, whether or not the client confirmed the information, or the reason confirmation was not obtained.

- Complaints about the client. Individuals in the community may report information to the Department which has a bearing on the client's eligibility. The report may be in the form of a complaint about the client or a claim that he is receiving benefits fraudulently. If the reported information would have no effect on eligibility for the specific Program, this must be explained to the individual providing the information, without confirming that the client receives such benefits or revealing any case information. The nature of the complaint must be recorded in the case record, but not the name of the complainant.

If the reported information would have a bearing on eligibility or the benefit level, if true, the Worker must contact the client to confirm it. Verification may be requested. The Worker must not take action, or indicate he is taking action, by issuance of an ES-NL-C, until the complaint has been substantiated. Regardless of the outcome, the nature of the complaint, but not the complainant's name, and the resolution must be recorded. When the complaint involves allegations of fraud, the Worker must determine if there is reason to believe the client has committed fraud. If so, and the amount is \$500 or more, the Worker must make a referral to IFM. See Chapter 20.

- Information from other offices within DHHR.
- Data system matches and case maintenance functions. Each Program has specific printouts and other case maintenance functions. See Program-specific information.

B. PROCEDURES FOR COUNTY TRANSFERS AND CASE CLOSURES

The following information provides procedural instructions for case actions common to all programs.

1. County Transfers

When a recipient moves to another county, data system action is taken immediately to transfer the case and change the address. The county office in the client's new location must be notified by GroupWise of case name, case number, new address, effective date of the transfer and any other pertinent information the new county of residence needs before receipt of the case record, such as vendor payment status, redetermination due or overdue, a domestic violence situation, etc. The county office in the new location must also be notified when the Food Stamp benefit group contains an ABAWD. A redetermination must be completed when an ABAWD moves from an NILC to an ILC.

The Worker forwards the case record and any separate file which contains information about a domestic violence situation to the new county, within 10 days. A memorandum is attached to the case record. In addition to case name and case number, the memorandum must include the new address, type of benefit and/or services being received and the date the case is due for redetermination. When a benefit group moves from a WV WORKS county to a TANF county or vice versa, the originating county is responsible for notifying the new county that a redetermination must be completed. If the client is in a nursing facility, this is indicated. A copy of this memorandum is retained in the closed files of the originating office. If the case is active with Social Services or BCSE, the Worker must notify the other units of the transfer by DHS-1.

2. Case Closures

When a client's circumstances change so that he becomes ineligible, the case is closed. In some situations, the case is automatically closed by the data systems. However, most case closures are completed by the Worker. Case closures usually involve failure to continue to meet an eligibility requirement. These are addressed in the Program-specific items which follow. The closures described below are related to general requirements, common to all Programs.

a. Automatic Closures

Cases are automatically closed by the data systems under the following circumstances:

- A C-219 system case, with an F prefix, does not have a redetermination completed by the deadline date in the month the case is due for redetermination.
- Phase II of TM ends. Special coding of Block 49 or 55 in the C-219 system is required. See Chapter 23.
- Extended Medicaid coverage ends. Special coding of Block 49 or 55 in the C-219 System is required. See Chapter 23.
- Medically Needy non-spenddown cases, not redetermined in the sixth month of eligibility.
- Medically Needy spenddown cases at the end of the POC.

b. Closure Due To Loss of Contact

Loss of contact occurs when the client moves and does not notify the Department. The Worker may become aware of this when the check, Food Stamps, medical card or other correspondence is returned.

The Worker must first check the address in the data system. If it is not correct, the Worker must correct it and release the benefits to the correct address.

If the address is correct, the Worker sends an ES-6 to the client requesting his new address and noting Please Forward on the envelope. If the ES-6 is returned as undeliverable, or, if the client does not report his new address by the date indicated on the form, the case is closed, after proper notice.

c. Closure Because Client Moves To Another State

When the client moves to another state and his address is known, the Worker must complete the appropriate notification letter for case closure and send it to the client. In addition, the Worker must include the following statement on the form: "If you want to apply for benefits in (new state), please take this letter with you to show that your West Virginia benefits have been stopped."

You have received \_\_\_\_\_ Months of benefits from West Virginia toward the 60-month lifetime limit. In addition, you received \_\_\_\_\_ months from the State of \_\_\_\_\_; This is a total of \_\_\_\_\_ months received."

d. Closure at Client's Request

The Worker must close the case when the client requests that such action be taken. The Worker should encourage the client to state the reason he is making the request, but acts on the case closure even if he does not. Advance notice is required.

e. Certificate of Coverage (Medicaid Only)

For any individual in any Medicaid coverage group whose Medicaid benefits stopped on or after July 1, 1996, the Worker must, upon request, complete form OFS-HIP-1, Certificate of Medicaid Coverage.

All individuals in the same benefit group with the same period of coverage may be included on the same certificate. A separate certificate must be issued for individuals who have different dates of coverage, or when all individuals do not have 18 months of coverage.

C. PROCEDURES FOR ADDING NEWBORN CHILDREN (AFDC/U AND MEDICAID ONLY)

Each CSM is responsible for assigning one person in each of the counties under his supervision to seek out information about newborn children. This individual is responsible for ensuring that information about newborn children is added to the TANF, WV WORKS or Medicaid case and that the information is entered into the appropriate data system within 5 working days of the date information is obtained. This individual is also expected to work with medical providers and clients in the county to develop mutually agreeable procedures for obtaining the necessary information as quickly as possible. The CSM must also have a back-up designee when the contact person is unavailable.

A statewide list of the contact people is maintained by the IM Policy Unit and shared as appropriate. Each CSM is responsible for notifying the IM Policy Unit as soon as changes occur.

A printout titled Births Due In (mm/yy) is produced monthly which shows all families expecting a birth in the following month. Special coding in Blocks 49 and 55 in the C-219 system and Block 35 in the M-219 system is the basis for

production of the printout. See Sections 2.3,A,  
2.4,A and 2.17,A.

This process is required only for TANF, WV WORKS and Medicaid cases. However, at the discretion of the CSM and Regional Director, the process may also be followed for the Food Stamp Program. The C-219 system codes for Blocks 49 and 55 are valid for any C-219 system case and will cause the case to appear on the printout.